



भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

RECOVERY DIVISION  
NORTHERN REGIONAL OFFICE  
Tel: 011-69012998/36, [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in)

Notice of Attachment of Bank and Post Office Accounts

Attachment Proceeding No. 14770 of 2025  
Certificate No. 8061 of 2024

The Principal Officer/ Chairman & Managing Director / CEO  
All the Banks in India  
The Postmaster of all the Post Offices in India

1. Whereas a Recovery Certificate No. 8061 of 2024 dated September 26, 2024 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 13,79,000/- (Rupees Thirteen Lakhs Seventy-Nine Thousand only) towards Penalty imposed by the AO vide Order No. QJA/AA/IMD/IMD-SEC-1/30316/2024-25 dated April 26, 2024 in the matter of unregistered portfolio management activities by Allied Financial Services Pvt. Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum (details given in table below), against Awanish Kumar Mishra [Defaulters] PAN: AGOPM7538H and the same are due from the defaulter in respect of the said certificate. A Notice of Demand dated September 26, 2024 has been issued to Awanish Kumar Mishra.

Description of Dues	Amount (in Rs.)
Penalty imposed by the WTM vide Order No. QJA/AA/IMD/IMD-SEC-1/30316/2024-25 dated April 30, 2024 in the matter of Investigation in the matter of unregistered portfolio management activities by Allied Financial Services Pvt. Ltd.	13,00,000/-
Interest from April 2024 to September 2024 @ 1 % per month	78,000/-
Recovery Cost	1,000/-
<b>Total</b>	<b>13,79,000/-</b>

2. Whereas no amount has been paid by the defaulter and there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank/post office accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect.
- a) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank/Post Office; and
- b) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

“हम हिन्दी में पत्राचार का स्वागत करते हैं।”





Continuation Sheet

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Board of India

A. P. No. 14770 of 2025

4. It is further ordered with immediate effect that No Debit shall be made in the said account/s to the extent of the total dues mentioned in the table above until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:
- a) Details of all the Accounts including Lockers held by the defaulter with your Bank/Post Office;
  - b) Copy of the Account Statement(s) for the latest one year in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account(s) and lockers; and;
  - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

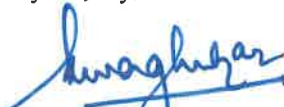
If no response is received within 15 days from the date of receipt of this order, it will be presumed that the defaulter is having no bank/post office account/balance with your bank/post office.

6. If the defaulter is not having any type of account with your bank/Post office, then the same need not be informed to SEBI.
7. You are also directed to immediately attach any new account/s opened by the defaulter with your Bank/post office post issuance of this notice of attachment and intimate the same to the undersigned along with the details of the new account/s.
8. This Notice of attachment is issued in exercise of powers conferred under Section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with Section 226 and the Second Schedule of Income Tax Act, 1961.

Given under my hand and seal at New Delhi this 16th day of July, 2025.

SEAL



  
RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar  
वसूली अधिकारी एवं महाप्रबंधक  
Recovery Officer & General Manager  
भारतीय प्रतिभूति और विनिमय बोर्ड  
Securities and Exchange Board of India  
उत्तरी प्रादेशिक कार्यालय / Northern Regional Officer  
नया दिल्ली, New Delhi

(With a direction not to receive/ recover/ demand the proceeds/ money held to be held in the aforesaid accounts)



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RECOVERY DIVISION

NORTHERN REGIONAL OFFICE

Tel: 011-69012998/36, [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in)

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Attachment Proceeding No. 14771 of 2025

Certificate No. 8061 of 2024

National Securities Depository Ltd.  
4th floor, 'A', Wing, Trade World  
Kamala Mills Compound  
Senapati Bapat Marg  
Lower Parel, Mumbai - 400013

Central Depository Services (I) Ltd.  
P J Tower s, 17th floor  
Dalal Street  
Fort, Mumbai - 400001

The Principal Officer /Chairman & Managing Director / CEO  
All the Mutual Funds in India.

1. Whereas a Recovery Certificate No. 8061 of 2024 dated September 26, 2024 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 13,79,000/- (Rupees Thirteen Lakhs Seventy-Nine Thousand only) towards Penalty imposed by the AO vide Order No. QJA/AA/IMD/IMD-SEC-1/30316/2024-25 dated April 26, 2024 in the matter of unregistered portfolio management activities by Allied Financial Services Pvt. Ltd. along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum (details given in table below), against Awanish Kumar Mishra [Defaulters] PAN: AGOPM7538H and the same are due from the defaulter in respect of the said certificate. A Notice of Demand dated September 26, 2024 has been issued to Awanish Kumar Mishra.

Description of Dues	Amount (in Rs.)
Penalty imposed by the WTM vide Order No. QJA/AA/IMD/IMD-SEC-1/30316/2024-25 dated April 30, 2024 in the matter of Investigation in the matter of unregistered portfolio management activities by Allied Financial Services Pvt. Ltd.	13,00,000/-
Interest from April 2024 to September 2024 @ 1 % per month	78,000/-
Recovery Cost	1,000/-
Total	13,79,000/-

2. Whereas no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

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Continuation Sheet

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Securities and Exchange  
Board of India

A.P. No. 14771 of 2025

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
- All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
  - All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
  - It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.
4. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
- Details of all the Accounts/folios held by the Defaulter with you;
  - Copy of the Account Statement/s; and
  - Confirmation of Attachment of the said accounts/folios

If the defaulter is not having any type of account/folios with you, the same need not be informed to SEBI.

5. You are also directed to immediately attach any new account/s opened or folio/s created for the defaulter by you post issuance of this notice of attachment and intimate the same to the undersigned along with the details of the new account/s or folio/s.
6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with Section 226 and the Second Schedule of Income Tax Act, 1961.**

Given under my hand and seal at New Delhi this 16th day of July, 2025.

SEAL



  
RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar  
वसूली अधिकारी एवं महाप्रबंधक  
Recovery Officer & General Manager  
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नई दिल्ली / New Delhi

(With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts)