



भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Bank Account

Attachment Proceeding No. 10819 of 2023
Certificate No. 6899 of 2023

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a Recovery Certificate No. 6899 of 2023 dated July 18, 2023 has been drawn up by the Recovery Officer in the above proceedings for recovery of a total sum of Rs. 2,27,000/- (Rupees Two Lakh and Twenty Seven Thousand only) along with interest, all costs, charges and expenses etc. against (i) Wave Inter Trades Pvt Ltd (PAN:AAACW0576A), (ii) Embassy Finance and Consultants Pvt. Ltd (PAN:AAACE1313P), (iii) Tohee Trading & Agencies Pvt Ltd (PAN:AAACT1354P), (iv) Rashmi Jain (PAN:AAGPJ3943D) and (v) Ankit Jain (PAN:AAGPJ3942C) ["Defaulter"] and the same is due from the Defaulters jointly and severally in respect of the said certificate. Notice of Demand dated July 18, 2023 has been issued to the Defaulters.

| Description of Dues | Amount (In Rupees) |
|---|--------------------|
| Penalty imposed by the Adjudicating Officer vide Order No. Order/GR/PU/2022-23/17916-17923 dated July 14, 2022 for violation of Regulation 30 (2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulation, 2011 in the matter of Mishka Finance and Trading Limited. | 2,00,000/- |
| Interest from July 14, 2022 to July 18, 2023 @ 1% p.m. | 26,000/- |
| Recovery Cost | 1000/- |
| Total | 2,27,000/- |

2. Whereas no amount has been paid by the Defaulters and there is sufficient reason to believe that the Defaulters may dispose of the amounts/proceeds in the Bank Accounts held with your Bank and realization of amount due under the Recovery Certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect to the extent of above said dues:

- All account/s by whatever name called including lockers of the Defaulters, either singly or jointly with any other person/s, held with your Bank; and
- All other amount / proceeds due or may become due to the Defaulters or any money held or may subsequently hold for or on account of the Defaulters.

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बान्द्रा-कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in





अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

##2##

AP No. 10819 of 2023

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s to the extent of the total dues mentioned above until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this attachment Notice:
- Details of all the Accounts including Lockers held by the Defaulters with your Bank;
 - Copy of the Account Statement(s) for the latest one year in respect of all the Accounts;
 - Confirmation of Attachment of the said account(s) and lockers; and
 - Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the Defaulters are not having any type of account with your bank/not having any balance in the account of the Defaulter, the same shall be also informed on the **email: jaip@sebi.gov.in / debaduttas@sebi.gov.in**.
7. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

Given under my hand and seal at Mumbai on 9th Day of August, 2023.

SEAL



RECOVERY OFFICER

जय प्रकाश
Jai Parkash
वसूली अधिकारी
Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
मुंबई
Mumbai

Copy to:

| | |
|---|---|
| 1. Wave Inter Trades Pvt. Ltd. (PAN:AAACW0576A) A-2 Basement Arcadia Building, Nariman Point, Mumbai - 400 021 | 2. Embassy Finance and Consultants Pvt. Ltd. (PAN: AAACE1313P) A-2 Basement Arcadia Building, Nariman Point, Mumbai - 400 021 |
| 3. Tohee Trading & Agencies Pvt. Ltd. (PAN: AAACT1354P) A-2 Basement Arcadia Building, Nariman Point, Mumbai - 400 021 | 4. Rashmi Jain (PAN: AAGPJ3943D) Front Row House, H No. 4, Grand Parady Apartments, August Kranti Marg, Grant Road West, Mumbai - 400 036 |
| 5. Ankit Jain (PAN: AAGPJ3942C) W-76, Greater Kailash -II New Delhi - 110 048 | |

(With a direction not to receive / recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)



भारतीय प्रतिभूति
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Board of India

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Attachment Proceeding No. 10820 of 2023

Certificate No. 6899 of 2023

National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai - 400 013

Central Depository Services (I) Ltd.
Marathon Futurex, A-Wing, 25th floor,
NM Joshi Marg, Lower Parel
Mumbai 400 013

**The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.**

1. Whereas a Recovery Certificate No. 6899 of 2023 dated July 18, 2023 has been drawn up by the Recovery Officer in the above proceedings for recovery of a total sum of Rs. 2,27,000/- (Rupees Two Lakh and Twenty Seven Thousand only) along with interest, all costs, charges and expenses etc. against (i) Wave Inter Trades Pvt Ltd (PAN:AAACW0576A), (ii) Embassy Finance and Consultants Pvt. Ltd (PAN:AAACE1313P), (iii) Tohee Trading & Agencies Pvt Ltd (PAN:AAACT1354P), (iv) Rashmi Jain (PAN:AAGPJ3943D) and (v) Ankit Jain (PAN:AAGPJ3942C) ["Defaulter"] and the same is due from the Defaulters jointly and severally in respect of the said certificate. Notice of Demand dated July 18, 2023 has been issued to the Defaulters.

| Description of Dues | Amount (In Rupees) |
|---|--------------------|
| Penalty imposed by the Adjudicating Officer vide Order No. Order/GR/PU/2022-23/17916-17923 dated July 14, 2022 for violation of Regulation 30 (2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulation, 2011 in the matter of Mishka Finance and Trading Limited. | 2,00,000/- |
| Interest from July 14, 2022 to July 18, 2023 @ 1% p.m. | 26,000/- |
| Recovery Cost | 1000/- |
| Total | 2,27,000/- |



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दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



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और विनिमय बोर्ड
Securities and Exchange
Board of India

##2##

AP No. 10820 of 2023

2. Whereas no amount has been paid by the Defaulters and there is sufficient reason to believe that the Defaulters may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

- All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you; and
- All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

- Details of all the Accounts/folios held by the Defaulter with you;
- Copy of the Account Statement/s; and
- Confirmation of Attachment of the said accounts/folios.

6. If the Defaulter is not having any type of account/folios with you/not having any balance in the account of the Defaulter, the same shall be also informed on the email: jaip@sebi.gov.in / debaduttas@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

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मुंबई
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##3##

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| 3. Tohee Trading & Agencies Pvt. Ltd. (PAN: AAAC1354P) A-2 Basement Arcadia Building, Nariman Point, Mumbai - 400 021 | 4. Rashmi Jain (PAN: AAGPJ3943D) Front Row House, H No. 4, Grand Parady Apartments, August Kranti Marg, Grant Road West, Mumbai - 400 036 |
| 5. Ankit Jain (PAN: AAGPJ3942C) W-76, Greater Kailash -II New Delhi - 110 048 | |

(With a direction not to receive / recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)

