भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Recovery Division
Southern Regional Office

Tel: 044-2888 0222
Email ID: recoverysro@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No.10427/2023
Certificate No. RC 6597/2023

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a **Recovery Certificate No. RC 6597/2023 dated May 25, 2023** was drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 1,17,000/- (Rupees One Lakh Seventeen Thousand only)** as detailed below along with further interest, all costs, charges and expenses etc. against **Mr. M S Babu (PAN - ADBPB8162A) ["Defaulter"]** and the same is due from Defaulter in respect of the said certificate. A Notice of Demand dated May 25, 2023 was issued to Defaulter for the following dues:

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. Order/SM/DD/2021-22/14938 dated 9.02.2022 in the matter of Titan Company Limited	1,00,000
Interest from February 2022 to May 2023 @ 1 % per month.	16,000
Recovery Cost	1,000
Further Interest	On Actual Date of Payment
Total	**Rs. 1,17,000/- + Further Interest till Actual date of Payment**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
   i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

   ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter

...2/-

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Securities and Exchange Board of India

A.P. No. 10427/2023

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   a) Details all the Accounts including Lockers held by the defaulter with your Bank;
   b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s; and
   d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed to the email: recoverysro@sebi.gov.in

7. This Notice of attachment is issued in exercise of powers conferred under **section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.**

Given under my hand and seal at Chennai on this 16th day of June, 2023

SEAL

RECOVERY OFFICER
सूरज मोहन एम.
SURAJ MOHAN M.
वसूली अधिकारी एवं महा प्रबंधक
Recovery Officer & General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड, **चेन्नई**
Securities and Exchange Board of India, Chennai

Copy to:

Mr. M S Babu (PAN – ADBPB8162A)
Shree Nivas No. 5 by 275,
Matham Road, Semmozhi Nagar,
Hosur, Krishnagiri, Tamilnadu - 635109

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)

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Recovery Division
Southern Regional Office

Tel: 044-2888 0222
Email ID: recoverysro@sebi.gov.in

Notice of Attachment of Demat Account (s) and Mutual Fund Folio(s)

Attachment Proceeding No.10428/2023
Certificate No. RC 6597/2023

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India.

1. Whereas a **Recovery Certificate No. RC 6597/2023 dated May 25, 2023** was drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 1,17,000/- (Rupees One Lakh Seventeen Thousand only)** as detailed below along with further interest, all costs, charges and expenses etc. against **Mr. M S Babu (PAN - ADBPB8162A) ["Defaulter"]** and the same is due from Defaulter in respect of the said certificate. A Notice of Demand dated May 25, 2023 was issued to Defaulter for the following dues:

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. Order/SM/DD/2021-22/14938 dated 9.02.2022 in the matter of Titan Company Limited	1,00,000
Interest from February 2022 to May 2023 @ 1 % per month.	16,000
Recovery Cost	1,000
Further Interest	On Actual Date of Payment
Total	**Rs. 1,17,000/- + Further Interest till Actual date of Payment**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. Therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
   i) All Demat Account(s), by whatever name called, of the Defaulter, either singly or jointly with any other person(s), held with you.
   ii) All mutual fund folio(s) by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

...2/-

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Securities and Exchange Board of India

A.P. No. 10428/2023

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
   a. Details of all the Accounts held by the Defaulter with you;
   b. Copy of the Account Statement/s; and
   c. Confirmation of Attachment of the said account/s

6. If the Defaulter does not have any type of account with you/ does not have any balance in such account, the same shall be also informed on the email: recoverysro@sebi.gov.in

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.**

Given under my hand and seal at Chennai on this 16th day of June, 2023

SEAL

RECOVERY OFFICER
सूरज मोहन एम.
SURAJ MOHAN M.
वसूली अधिकारी एवं महा प्रबंधक
Recovery Officer & General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड, चेन्नई
Securities and Exchange Board of India, Chennai

Copy to:

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(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)