



Recovery & Refund Department  
Recovery Division II  
Email: [recoveryho@sebi.gov.in](mailto:recoveryho@sebi.gov.in)

### Notice of Attachment of Bank Account

Attachment Proceeding No. 9781 of 2023  
Certificate No. 6232 of 2023

The Principal Officer /  
Chairman & Managing Director / CEO  
All the Banks in India.

- Whereas a Recovery Certificate No. 6232 of 2023 dated 06.02.2023 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 2121000/- (Rupees Twenty One Lakh Twenty One Thousand only)** as detailed given below along with further interest, all costs, charges and expenses etc. against **Quantum Global Securities Ltd (PAN: AAACQ0053A)** and the same is due from the Defaulter in respect of the said certificate. A Notice of Demand dated 06.02.2023 has been issued to Defaulter.

Description of Dues	Amount(in Rupees)
Penalty imposed by the Adjudicating Officer vide Order No. Order/SM/DD/2022-23/19877 dated September 29, 2022 in the matter of Quantum Global Securities Ltd.	2000000.00
Interest from September 2022 to February 2023 @ 1% p.m.	120000.00
Recovery Cost	1000.00
<b>Total</b>	<b>2121000.00</b>

- And whereas there is sufficient reason to believe that the Defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above said dues**:
  - All account/s by whatever name called including lockers of the Defaulters, either singly or jointly with any other person/s, held with your Bank; and
  - All other amount/proceeds due or may become due to the Defaulters or any money held or may subsequently hold for or on account of the Defaulters.



सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : [www.sebi.gov.in](http://www.sebi.gov.in)

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : [www.sebi.gov.in](http://www.sebi.gov.in)



अनुवर्ती :  
Continuation :

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

**A.P. No. 9781 of 2023**

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
  - a) Details of all the Accounts including Lockers held by the Defaulters with your Bank;
  - b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account/s and lockers; and
  - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the Defaulter is not having any type of account with your bank/not having any balance in the account of the Defaulters, the same shall be also informed on the email: [suchismitas@sebi.gov.in](mailto:suchismitas@sebi.gov.in) & [bhumikas@sebi.gov.in](mailto:bhumikas@sebi.gov.in).
7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 , section 19IB(1) of Depositories Act, 1996 and section 23JB(1) of Securities Contracts (Regulation) Act, 1956 read with section 226 and the second schedule of the Income-tax Act, 1961.

Given under my hand and seal at Mumbai this 24<sup>th</sup> day of February 2023.



*Suchismita Sahoo*  
**RECOVERY OFFICER**

Suchismita Sahoo  
सुचिस्मिता साहू  
Dy. General Manager & Recovery Officer  
उप महाप्रबंधक एवं वसुली अधिकारी  
Securities and Exchange Board of India  
भारतीय प्रतिभूति और विनिमय बोर्ड  
Mumbai,  
महाराष्ट्र

Copy:

**Quantum Global Securities Ltd (PAN:AAACQ0053A)**

C/O Goyal Consultancy, C 18 /104, Satkar Co Op HSG, SOC, Sector 6, Shanti Nagar,  
Mira Road, Mumbai 401107

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).



**Recovery & Refund Department**  
**Recovery Division II**  
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**भारतीय प्रतिभूति  
 और विनियम बोर्ड**  
**Securities and Exchange  
 Board of India**

**Notice of Attachment of Demat Account and Mutual Fund Folio(s)**

Attachment Proceeding No. 9782 of 2023  
Certificate No. 6232 of 2023

**National Securities Depository Ltd.**  
 4th floor, 'A', Wing, Trade World  
 Kamala Mills Compound  
 Senapati Bapat Marg  
 Lower Parel, Mumbai – 400013.

**Central Depository Services (I) Ltd.**  
 P J Towers, 17th floor  
 Dalal Street  
 Fort, Mumbai – 400001

**The Principal Officer /Chairman & Managing Director / CEO**  
**All the Mutual Funds in India.**

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Description of Dues	Amount(in Rupees)
Penalty imposed by the Adjudicating Officer vide Order No. Order/SM/DD/2022-23/19877 dated September 29, 2022 in the matter of Quantum Global Securities Ltd.	2000000.00
Interest from September 2022 to February 2023 @ 1% p.m.	120000.00
Recovery Cost	1000.00
<b>Total</b>	<b>2121000.00</b>

- And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
  - All Demat Account/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you.
  - All Mutual fund folio/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you.



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भारतीय प्रतिभूति  
और विनियम बोर्ड  
Securities and Exchange  
Board of India

**A.P. No. 9782 of 2023**

4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the accounts/folios may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
  - a) Details of all the Accounts/folios held by the Defaulters with you;
  - b) Copy of the Account Statement/s; and
  - c) Confirmation of Attachment of the said accounts/folios
6. If the Defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the Defaulters, the same shall be also informed on the email: [suchismitas@sebi.gov.in](mailto:suchismitas@sebi.gov.in) & [bhumikas@sebi.gov.in](mailto:bhumikas@sebi.gov.in).
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Given under my hand and seal at Mumbai this 24<sup>th</sup> day of February 2023.



*Suchismita Sahoo*  
**RECOVERY OFFICER**

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C/O Goyal Consultancy, C 18 /104, Satkar Co Op HSG, SOC, Sector 6, Shanti Nagar, Mira Road, Mumbai 401107

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

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