



Deputy General Manager & Recovery Officer
Recovery Division - II
Recovery and Refund Department
Tel: 022-2644 9385
Email: suchismitas@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Bank Account(s)/Lockers

Attachment Proceeding No. 4118 of 2018
Certificate No. 1759 of 2018

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

Whereas Recovery Certificate No. 1759 of 2018 dated October 04, 2018 was drawn up by the Recovery Officer in the above proceedings for recovery of a total sum of Rs. 33,38,315/- (Rupees Thirty Three Lakh Thirty Eight Thousand Three Hundred and Fifteen Only) as detailed below along with further interest, all costs, charges and expenses etc. against M/s Plethico Pharmaceuticals Ltd. (PAN: AABCP3063G), Mr. Chirag Patel (PAN: ADHPP4699Q), Mr. Shashikant Patel (PAN: AFVPP0343K), Ms. Gauravi Parikh (PAN: AKPPP7466E) ["Defaulters"] and the same is due from the defaulters in respect of the said certificate. Notice of Demand dated October 04, 2018 was issued to the defaulters for the following dues:

Description of Dues	Amount (in Rs.)
Penalty imposed by AO vide order No. RA/JP/215-221/2017 dated October 27, 2017 in the matter of Plethico Pharmaceuticals Ltd.	30,00,000/-
Interest from October 27, 2017 to October 04, 2018 @ 1% p.m.	3,37,315/-
Recovery cost	1,000/-
Total	33,38,315/-

2. The aforesaid notice of demand was served on the defaulters. However, no amount is paid by the defaulters and there is sufficient reason to believe that the defaulters may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. Therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

- All account(s), by whatever name called, including lockers of the defaulters, either singly or jointly with any other person(s), held with your Bank; and
- All other amounts/proceeds due or may become due to the defaulters or any money held or may subsequently be held for or on account of the defaulters.



सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



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A.P. No. 4118 of 2018

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. It may be noted that the Notices of Attachment under this recovery certificate have already been issued against **M/s Plethico Pharmaceuticals Ltd. (PAN: AABCP3063G), Mr. Chirag Patel (PAN: ADHPP4699Q) and Mr. Shashikant Patel (PAN: AFVPP0343K)** with same AP numbers as above. This notice is issued with respect to attachment of account(s) of **Ms. Gauravi Parikh (PAN: AKPPP7466E)**, who is one of the defaulter under the above-mentioned recovery certificate. Therefore, this notice may be considered for attachment of accounts of all the defaulters under the recovery certificate.

6. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

- Details of all the Accounts including Lockers held by the defaulters with your Bank;
- copy of the Account Statement(s) for the latest one year in respect of all the Accounts;
- confirmation of Attachment of the said account(s); and
- complete details of all loan/advance accounts along with the details of assets charged for the said loans/advances.

7. If the defaulters do not have any type of account with your bank/ do not have any balance in such account, the same shall be also informed on the email: recoveryho@sebi.gov.in and naveenm@sebi.gov.in.

8. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the Second Schedule to the Income-tax Act, 1961.

Given under my hand and seal at Mumbai this 14th day of December of 2022.



Suchismita Sahoo
RECOVERY OFFICER

Suchismita Sahoo
सुचिस्मिता साहू
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनिमय बोर्ड
Mumbai
मुंबई

Copy to:

M/s Plethico Pharmaceuticals Ltd., A.B Road Mangila, Indore, Madhaya Pradesh 453771;

Mr. Chirag Patel, 18-C, Swati Apartments, Sarojini Road, Santacruz West, Mumbai 400054;

Mr. Shashikant Patel, 18-C, Swati Apartments, Sarojini Road, Santacruz West, Mumbai 400054; and

Ms. Gauravi Parikh, Flat No. 305-306, 3rd Floor, Park Avenue Park Road, Vile Parle East, Mumbai 400057.

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).





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Notice of Attachment of Demat Account(s) and Mutual Fund Folio(s)

Attachment Proceeding No. 4119 of 2018

Certificate No. 1759 of 2018

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai - 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India.

Whereas Recovery Certificate No. 1759 of 2018 dated October 04, 2018 was drawn up by the Recovery Officer in the above proceedings for recovery of a total sum of Rs. 33,38,315 /- (Rupees Thirty Three Lakh Thirty Eight Thousand Three Hundred and Fifteen Only) as detailed given below along with further interest, all costs, charges and expenses etc. against M/s Plethico Pharmaceuticals Ltd. (PAN: AABCP3063G), Mr. Chirag Patel (PAN: ADHPP4699Q), Mr. Shashikant Patel (PAN: AFVPP0343K), Ms. Gauravi Parikh (PAN: AKPPP7466E) ["Defaulters"] and the same is due from the defaulters in respect of the said certificate. Notice of Demand dated October 04, 2018 was issued to the defaulters for the following dues:

Description of Dues	Amount (in Rs.)
Penalty imposed by AO vide order No. RA/JP/215-221/2017 dated October 27, 2017 in the matter of Plethico Pharmaceuticals Ltd.	30,00,000/-
Interest from October 27, 2017 to October 04, 2018 @1% p.m.	3,37,315/-
Recovery cost	1,000/-
Total	33,38,315/-

2. The aforesaid notice of demand was served on the defaulter. However, no amount is paid by the defaulters and there is sufficient reason to believe that the defaulters may dispose of the securities/instruments in the Demat account(s) held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. Therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

a) All Demat Account(s), by whatever name called, of the defaulters, either singly or jointly with any person(s), held with you.

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दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in





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Securities and Exchange
Board of India

A.P. No. 4119 of 2018

- b) All Mutual Fund Folios by whatever name called of the defaulters, either singly or jointly with any person/s, held with you.
4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. It may be noted that the Notices of Attachment under this recovery certificate have already been issued against **M/s Plethico Pharmaceuticals Ltd. (PAN: AABCP3063G), Mr. Chirag Patel (PAN: ADHPP4699Q) and Mr. Shashikant Patel (PAN: AFVPP0343K)** with same AP numbers as above. This notice is issued with respect to attachment of demat account(s)/Mutual Fund Folio(s) of **Ms. Gauravi Parikh (PAN: AKPPP7466E)**, who is one of the defaulter under the above-mentioned recovery certificate. Therefore, this notice may be considered for attachment of demat account(s)/Mutual Fund Folio(s) of all the defaulters under the recovery certificate.
6. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:
- details of all the Accounts/folios held by the defaulters with you;
 - copy of the Account Statement(s); and
 - confirmation of Attachment of the said account(s)/folios.
7. If the defaulters do not have any type of account/folios with you/ do not have any balance in such account/folios, the same shall be also informed on the email: recoveryho@sebi.gov.in and naveenm@sebi.gov.in.
8. This Notice of Attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the Second Schedule to the Income-tax Act, 1961.

Given under my hand and seal at Mumbai on 14th day of December of 2022.



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Dy. General Manager & Recovery Officer

उप महाप्रबंधक एवं वसूली अधिकारी

Securities and Exchange Board of India

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