# SECURITIES AND EXCHANGE BOARD OF INDIA

**SEBI BHAVAN, Plot No.C4-A**
**G-Block, Bandra Kurla Complex**
**MUMBAI – 400 051.**

## CERTIFICATE No. 4834 of 2022

**Certificate under section 28A and 28B of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961**

**Kalpavriksha industries Limited** **PAN: Not Available**
Dharmanad Towers, 303 Opp.,
Prabhat Cinema, Bhandar Ali,
**Thane- 400601**

**NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 r.w. *SECTION 28A and 28B OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992***

1. This is to certify that a sum of Rs. 9,31,000/- (Rupees Nine Lakh Thirty One Thousand only) as detailed below is due to SEBI from you.

| Description of Dues | Amount (Rs.) |
|---|---|
| Penalty imposed on Kalpavriksha industries Limited (PAN: Not Available) by the adjudicating officer vide order no RA/CB/267/2017 dated 27/12/2017 in the matter of Failure to obtain SCORES Authentication | Rs.6,00,000/- |
| Interest from 27/12/2017 to 07/06/2022 @ 12% p.a. | Rs. 3,30,000/- |
| Recovery cost | Rs.1,000/- |
| Further Interest | On Actual Date of Payment |
| **Total** | **Rs. 9,31,000/- + Further Interest till Actual date of Payment** |

2. You are hereby directed to pay the above sum of Rs. 9,31,000/- (Rupees Nine Lakh Thirty One Thousand only) along with further interest / all costs / charges / expenses, within 15 (Fifteen) days of the receipt of this Notice **[DD shall be drawn in favour of the "SEBI RECOVERY PROCEEDS" payable at Mumbai (or) EFT/NEFT/RTGS to A/c No. SEBIRRDPEN4834 of ICICI Bank [IFS Code– ICIC0000106] and immediately intimate the same along with UTR No. and other transaction details by email to** ldshrirang@sebi.gov.in/ recoveryho@sebi.gov.in., failing which the recovery shall be made in accordance with the provisions of **Section 28A and 28B of the Securities and Exchange Board of India Act, 1992** read with section 220 to 227, 228A, 229, 232, the Second and Third Schedules to the Income-Tax Act, 1961 (43 of 1961) and the Income-Tax (Certificate Proceedings) Rules, 1962 of the Income-Tax Rules.

...2/-

3. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, Securities and Exchange Board of India, SEBI Bhavan, Plot No. C – 4 A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051." or sent by email to suchismitas@sebi.gov.in/ ldshrirang@sebi.gov.in /recoveryho1@sebi.gov.in Tel. No. 022-2644 9137.

| | |
|---|---|
| 1. Case Name and Recovery Certificate Number : | |
| 2. Name of Payee : | |
| 3. Date of Payment: | |
| 4. Amount Paid : | |
| 5. Transaction No. : | |
| 6. Bank Details from which payment is made : | |

*Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards your dues.*

4. In addition to the sum aforesaid, you will also be liable for interest/all costs /charges/expenses incurred in respect of the services of this notice/warrants and other processes for recovering the said sum.

Dated: 07/06/2022

**RECOVERY OFFICER**

Suchismita Sahoo
सुचिस्मिता साहू
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूति एवं विनिमय बोर्ड
Mumbai
मुंबई