



Recovery & Refund Department  
Recovery Division III  
Tel: 022-26449564  
Email: recoveryho1@sebi.gov.in

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

**Notice of Attachment of Bank Account**

**Attachment Proceeding No. 6728 of 2021**  
**Certificate No. 2629 of 2019**

**The Principal Officer /  
Chairman & Managing Director / CEO  
All the Banks in India.**

1. Whereas a **Recovery Certificate No. 2629 of 2019 dated 21.11.2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 1,08,825/- (Rupees One Lakh Eight Thousand Eight Hundred and Twenty Five only)** as detailed below along with interest/costs/charges/expenses etc. against **Anil Harish ["Defaulter"] (PAN: AAAPH8876M)** and the same is due from the defaulter in respect of the said certificate. A Notice of Demand dated **21.11.2019** has been issued to **Anil Harish**.

Description of Dues	Amount
Penalty imposed on <b>Anil Harish (PAN: AAAPH8876M)</b> by the Adjudicating Officer vide order no. EAD-2/SS/VS/2018-19/2540-2545 dated 28.03.2019 in the matter of CIG Realty Fund.	Rs. 1,00,000/-
Interest from 28.03.2019 to 21.11.2019 @ 12% p.a.	Rs. 7,825/-
Recovery cost	Rs. 1,000/-
Further Interest	On Actual Date of Payment
<b>Total</b>	<b>Rs. 1,08,825/- + Interest till Actual date of Payment</b>

2. Whereas no amount has been paid by the defaulter and there is sufficient reason to believe that the Defaulter may dispose of the amounts/proceeds in the Bank Accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.



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**Securities and Exchange  
Board of India**

A.P. No. 6728 of 2021


3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above said dues**:
- i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.
4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this attachment Notice:
- a) Details of all the Accounts including Lockers held by the defaulter with your Bank;
  - b) Copy of the Account Statement(s) for the latest one year in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account(s) and lockers; and
  - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: **recoveryho1@sebi.gov.in**
7. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

Given under my hand and seal at Mumbai on this 24<sup>th</sup> Day of May 2021



Copy to:

Anil Harish  
C/o D M Harish & Co. (Advocate) 305-309,  
Neelkanth, 98, Marine Drive, Mumbai - 400 002  
AND  
13, CCI Chambers, Dinshaw Wacha Road, Mumbai - 400 020

  
24/05/2021

**RECOVERY OFFICER**

JAI PARKASH

जय प्रकाश

Dy. General Manager & Recovery Officer

उप महाप्रबंधक एवं वसूली अधिकारी

Securities and Exchange Board of India

भारतीय प्रतिभूति एवं विनिमय बोर्ड

Mumbai

मुंबई

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).



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**Attachment of Demat Account and Mutual Fund Folio(s)**

**Attachment Proceeding No. 6729 of 2021**  
**Certificate No. 2629 of 2019**

**M/s. National Securities Depository Ltd.**  
4th floor, 'A', Wing, Trade World  
Kamala Mills Compound  
Senapati Bapat Marg  
Lower Parel, Mumbai – 400013

**M/s. Central Depository Services (I) Ltd.**  
P J Towers, 17th floor  
Dalal Street  
Fort, Mumbai – 400001

**The Principal Officer /Chairman & Managing Director / CEO**  
**All the Mutual Funds in India.**

- Whereas a **Recovery Certificate No. 2629 of 2019 dated 21.11.2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 1,08,825/- (Rupees One Lakh Eight Thousand Eight Hundred and Twenty Five only)** as detailed below along with interest/costs/charges/expenses etc. against **Anil Harish ["Defaulter"] (PAN: AAAPH8876M)** and the same is due from the Defaulter in respect of the said certificate. A Notice of Demand dated **21.11.2019** has been issued to **Anil Harish**.

Description of Dues	Amount
Penalty imposed on <b>Anil Harish (PAN: AAAPH8876M)</b> by the Adjudicating Officer vide order no. EAD-2/SS/VS/2018-19/2540-2545 dated 28.03.2019 in the matter of CIG Realty Fund.	Rs. 1,00,000/-
Interest from 28.03.2019 to 21.11.2019 @ 12% p.a.	Rs. 7,825/-
Recovery cost	Rs. 1,000/-
Further Interest	On Actual Date of Payment
<b>Total</b>	<b>Rs. 1,08,825/- + Interest till Actual date of Payment</b>

- Whereas no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051  
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.  
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



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Securities and Exchange  
Board of India

A.P. No. 6729 of 2021

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
- All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
  - All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
- Details of all the Accounts/folios held by the Defaulter with you;
  - Copy of the Account Statement/s; and
  - Confirmation of Attachment of the said accounts/folios
6. If the Defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the Defaulter, the same shall be also informed on the email: [recoveryho1@sebi.gov.in](mailto:recoveryho1@sebi.gov.in)
7. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961

Given under my hand and seal at Mumbai on this 24<sup>th</sup> Day of May 2021.



Copy to:

Anil Harish  
C/o D M Harish & Co. (Advocate) 305-309,  
Neelkanth, 98, Marine Drive, Mumbai - 400 002  
AND  
13, CCI Chambers, Dinshaw Wacha Road,  
Mumbai - 400 020

24/05/2021

**RECOVERY OFFICER**

JAI PARKASH

जय प्रकाश

Dy. General Manager & Recovery Officer  
उप महाप्रबंधक एवं वसूली अधिकारी  
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(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).