



भारतीय प्रतिभूति और विनियम बोर्ड

**Securities and Exchange
Board of India**

RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000

E Mail: recoveryero@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 6065 of 2020

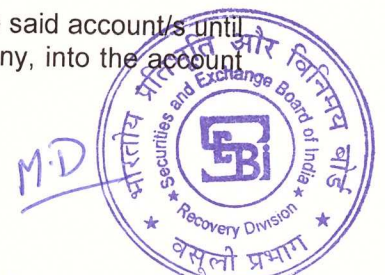
Certificate No. RC3135 of 2020

The Principal Officer/
Chairman & Managing Director/ CEO,
All the Banks in India

- Whereas a **Recovery Certificate No. RC3135 of 2020 dated 06.11.2020**, has been drawn up by the Recovery Officer in the above proceedings for recovery of **Rs. 11,36,24,500/- (Rupees Eleven Crore Thirty Six Lakh Twenty Four Thousand Five Hundred Only)** collected from the public without complying with the public issue norms through the offer and allotment of Redeemable Preference Shares, with an interest of 15% per annum (the interest being calculated from the date when the repayments became due in terms of Section 73(2) of the Companies Act, 1956 till the date of actual payment), along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, as detailed below, against **(1) Rainbow Industries And Constructions Limited (PAN: AAECR6197A) and its directors viz. (2) Ms. Nidhi Yogendra (PAN: AFRPN8494B) and (3) Mr. Dhiren Rawani (PAN: AGWPR6262R) [Defaulters]** and the same is due from them in respect of the said certificate.

Description of Dues	Amount
Non-Compliance of the direction (to refund the investors) issued vide order no. WTM/AB/DDHS/LO-Ranchi/02/2018-19 dated 22.11.2018 in the matter of Rainbow Industries and Constructions Limited.	Full Freeze
Interest	
Costs	
Total	

- And whereas there is sufficient reason to believe that the defaulters may withdraw the amounts/ dispose off the securities in the accounts held with you and realization of amount due under the certificate would in consequence be delayed or obstructed, I hold that in order to protect the interest of investors, it is necessary to attach the assets of the defaulters including bank & demat accounts and Mutual Fund investments, to prevent any alienation of the same.
- It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - All account/s by whatever name called including lockers of the Defaulter held singly with your Bank; and
 - All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.
- It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.





अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
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5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
- Details of all the Accounts including Lockers held by the defaulter with your Bank,
 - Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
 - Confirmation of Attachment of the said account/s.
 - Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
 - Details of joint accounts including lockers of the Defaulter along with names and addresses of all joint holders of such joint accounts.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoveryero@sebi.gov.in.
7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 06th day of November, 2020.

SEAL



Mitrajeet Dey.

RECOVERY OFFICER

मित्रजीत दे / Mitrajeet Dey

वसूली अधिकारी एवं उप महाप्रबंधक

Recovery Officer & Dy. General Manager

भारतीय प्रतिभूति और विनिमय बोर्ड

Securities and Exchange Board of India

कोलकाता / Kolkata

Copy to:

1. Rainbow Industries And Constructions Limited (PAN: AAECR6197A) 1) 1 st Floor, Balaji Majestic Mithu Road, Bank More, Dhanbad, Jharkhand – 826001 2) 2 nd Floor, Ozone Plaza, Bank More, Dhanbad, Jharkhand – 826001	2. Ms. Nidhi Yogendra (PAN: AFRPN8494B) 468 Bishnupur Road, Shastri Nagar, Thana No. 249, PO – Jhumari Tilaiya Kodarma, Jharkhand - 825409
3. Mr. Dhiren Rawani (PAN: AGWPR6262R) Gour Khutti Bhowra, Near Water Plant, Dhanbad, Jharkhand - 828302	

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.



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Securities and Exchange Board of India

RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000

E Mail: recoveryero@sebi.gov.in

Notice of Attachment of MF & Demat Account

Attachment Proceeding No. 6066 of 2020

Certificate No. RC3135 of 2020

The Managing Director,
National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound, Lower Parel,
Mumbai - 400013.

The Managing Director,
Central Depository Services (India) Limited,
17th Floor, P J Towers, Dalal Street,
Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India

- Whereas a **Recovery Certificate No. RC3135 of 2020 dated 06.11.2020**, has been drawn up by the Recovery Officer in the above proceedings for recovery of **Rs. 11,36,24,500/- (Rupees Eleven Crore Thirty Six Lakh Twenty Four Thousand Five Hundred Only)** collected from the public without complying with the public issue norms through the offer and allotment of Redeemable Preference Shares, with an interest of 15% per annum (the interest being calculated from the date when the repayments became due in terms of Section 73(2) of the Companies Act, 1956 till the date of actual payment), along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, as detailed below, against **(1) Rainbow Industries And Constructions Limited (PAN: AAECR6197A) and its directors viz. (2) Ms. Nidhi Yogendra (PAN: AFRPN8494B) and (3) Mr. Dhiren Rawani (PAN: AGWPR6262R) [Defaulters]** and the same is due from them in respect of the said certificate.

Description of Dues	Amount
Non-Compliance of the direction (to refund the investors) issued vide order no. WTM/AB/DDHS/LO-Ranchi/02/2018-19 dated 22.11.2018 in the matter of Rainbow Industries and Constructions Limited.	Full Freeze
Interest	
Costs	
Total	

- And whereas there is sufficient reason to believe that the defaulters may withdraw the amounts/ dispose off the securities in the accounts held with you and realization of amount due under the certificate would in consequence be delayed or obstructed, I hold that in order to protect the interest of investors, it is necessary to attach the assets of the defaulters including bank & demat accounts and Mutual Fund investments, to prevent any alienation of the same.





अनुवर्ती :
Continuation :

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**Securities and Exchange
Board of India**

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following:
 - i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
 - ii. All funds /folios/schemes held by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
 - a) Details of all the Accounts held by the defaulter with you,
 - b) Copy of the Account Statement/s ; and
 - c) Confirmation of Attachment of the said account/s
6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.
7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this **06th day of November, 2020**.

SEAL



Mitrajeet Dey
RECOVERY OFFICER
मित्रजीत दे / Mitrajeet Dey
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
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3. Mr. Dhiren Rawani (PAN: AGWPR6262R) Gour Khutti Bhowra, Near Water Plant, Dhanbad, Jharkhand - 828302	

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.