भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Recovery & Refund Department
Recovery Division III
Tel: 022-26449786
Email: recoveryho1@sebi.gov.in

Notice of Attachment of Bank Account

Certificate No. 1073 of 2017

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a **Recovery Certificate No. 1073 of 2017 dated 03.01.2017** has been drawn up by the Recovery Officer for recovery of dues to a tune of Rs. 3,89,767 /- (Rupees Three Lakh Eighty Nine Thousand Seven Hundred and Sixty Seven Only) along with the further interest / cost / charges /expenses etc. against **Magnum Equity Broking Ltd. ["Defaulter"] (PAN: AAACM5661N).**

2. Further, the total due amount against Magnum Equity Broking Ltd. ["Defaulter"] including further interest as on date **is Rs. 4,85,241/- (Rupees Four Lakh Eighty Five Thousand Two Hundred and Forty One Only)**.

3. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

4. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above dues as mentioned in para 2**:

   i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

   ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

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SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this attachment Notice:

   a) Details of all the Accounts including Lockers held by the defaulter with your Bank;
   b) Copy of the Account Statement(s) for the latest one year in respect of all the Accounts;
   c) Confirmation of Attachment of the said account(s) and lockers; and
   d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the **email: recoveryho1@sebi.gov.in**

7. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961.

Given under my hand and seal at Mumbai on **29th day of August, 2019.**

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

29/8/19

RECOVERY OFFICER

JAI PARKASH
जय प्रकाश
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनिमय बोर्ड
Mumbai
मुंबई

Copy to:

Magnum Equity Broking Ltd. (PAN: AAACM5661N)
D-30, Empire Mahal, 806, Dr. A. B. Road,,
Khodadad Circle, Dadar T. T.
Mumbai 400014

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Recovery & Refund Department
Recovery Division III
Tel: 022-26449786
Email: recoveryho1@sebi.gov.in

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Certificate No. 1073 of 2017

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400013

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.

1. Whereas a **Recovery Certificate No. 1073 of 2017 dated 03.01.2017** has been drawn up by the Recovery Officer for recovery of dues to a tune of Rs. 3,89,767 /- (Rupees Three Lakh Eighty Nine Thousand Seven Hundred and Sixty Seven Only) along with the further interest / cost / charges /expenses etc. against **Magnum Equity Broking Ltd. ["Defaulter"] (PAN: AAACM5661N).**

2. Further, the total due amount against Magnum Equity Broking Ltd. ["Defaulter"] including further interest as on date **is Rs. 4,85,241/- (Rupees Four Lakh Eighty Five Thousand Two Hundred and Forty One Only)**.

3. And whereas there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

4. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
   i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
   ii) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

...2

5. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.

6. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

    a) Details of all the Accounts/folios held by the defaulter with you;
    b) Copy of the Account Statement/s; and
    c) Confirmation of Attachment of the said accounts/folios

7. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the **email: recoveryho1@sebi.gov.in**

8. This Notice of attachment is issued in exercise of powers conferred under section 28A (1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income-tax Act, 1961

Given under my hand and seal at Mumbai on **29th Day of August, 2019.**

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA
RECOVERY DIVISION

29/8/19

RECOVERY OFFICER

JAI PARKASH
जय प्रकाश
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनिमय बोर्ड
Mumbai
मुंबई

Copy to:

Magnum Equity Broking Ltd.
(PAN: AAACM5661N)
D-30, Empire Mahal, 806, Dr. A. B. Road,,
Khodadad Circle, Dadar T. T.,
Mumbai- 400014

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).