Recovery and Refund Division वसूली एवं धन-वापसी (रिफंड) विभाग
Recovery Division II वसूली प्रभाग - 2

भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

Tel: 022-26449571
Email: recoveryho@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 4511 of 2019
Certificate No. 2145 of 2019

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a Recovery **Certificate No.2145 of 2019 dated 16.05.2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.230918/- (Rupees Two Lakh Thirty thousand Nine hundred Eighteen Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Ingenious Finance & Investment ["Defaulter"] PAN. AAACI2843L**and the same is due from him in respect of the said certificate. A Notice of Demand **dated 16.05.2019** has been issued to **Ingenious Finance & Investment.**

Description of Dues	Amount
Penalty imposed **on Ingenious Finance & Investment (PAN No. AAACI2843L)** by the Adjudicating Officer vide order no. RA/JP/295-303/2018 dated 15.02.2018 in the matter of S Kumars Nationwide Ltd.	200000
Interest from 15/02/2018 to 16/05/2019 @ 12% p.a.	29918
Recovery cost	1000
Total	230918

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above said dues**:

   i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

   ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

...2...

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   a) Details of all the Accounts including Lockers held by the defaulter with your Bank;
   b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s and lockers; and
   d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 6th day of June 2019.

SEAL

RECOVERY OFFICER
ATANU PAN / अतनु पान
General Manager & Recovery Officer
महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनिमय बोर्ड
Mumbai / मुंबई

Copy to:

Ingenious Finance & Investment
(PAN:AAACI2843L)
B2, 5th Floor, Marathon NextGen, Off G.K. Marg,,
Lower Parel,
Mumbai 400013

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Recovery and Refund Division वसूली एवं धन-वापसी (रिफंड) विभाग
Recovery Division II वसूली प्रभाग - 2

Tel: 022-26449571
Email: recoveryho@sebi.gov.in

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Attachment Proceeding No. 4512 of 2019
Certificate No.2145 of 2019

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.

1. Whereas a Recovery **Certificate No.2145 of 2019 dated 16.05.2019** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.230918/- (Rupees Two Lakh Thirty thousand Nine hundred Eighteen Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Ingenious Finance & Investment["Defaulter"] PAN. AAACI2843L** and the same is due from him in respect of the said certificate. A Notice of Demand **dated 16.05.2019** has been issued to **Ingenious Finance & Investment.**

Description of Dues	Amount
Penalty imposed on **Ingenious Finance & Investment (PAN No. AAACI2843L)** by the Adjudicating Officer vide order no. RA/JP/295-303/2018 dated 15.02.2018 in the matter of S Kumars Nationwide Ltd.	200000
Interest from 15/02/2018 to 16/05/2019 @ 12% p.a..	29918
Recovery cost	1000
Total	230918

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
   i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

...2..

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

ii) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

   a) Details of all the Accounts/folios held by the defaulter with you;
   b) Copy of the Account Statement/s; and
   c) Confirmation of Attachment of the said accounts/folios

6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 6th day of June 2019.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

RECOVERY OFFICER
ATANU PAN / अतनु पान
General Manager & Recovery Officer
महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूति एवं विनिमय बोर्ड
Mumbai / मुंबई

Copy to:

Ingenious Finance & Investment
(PAN:AAACI2843L)
B2, 5th Floor, Marathon NextGen, Off G.K. Marg,,
Lower Parel,
Mumbai 400013

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).