



भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

RECOVERY CELL
NORTHERN REGIONAL OFFICE, NEW DELHI
Tel: 011-23724011 Email: recoverynro@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No.4221 of 2019
Certificate No. 1851 of 2018

**The Principal Officer/
Chairman & Managing Director / CEO
All the Banks in India**

1. Whereas a Recovery **Certificate No. 1851** dated December 18, 2018 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 80,97,62,785 I-** (*Rupees Eighty Crores Ninety Seven Lakhs Sixty Two Thousand Seven Hundred Eighty Five Only*) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against from **M/s. Kassa Finvest Private Limited (AAACK1206B)**, **Mr. Ashok Kumar (AAFPK7362N)**, **Mr. Umashankar Sharan Shrivastav (AGKPS7923C)**, **Mrs. Anjana Kumar (AHOPK6281C)**, **Mr. Siddharth Shankar (AAMPS8754R)**, **Ms. Nitika Shankar (AADPB9990K)**, **M/s. Kassa Holdings & Consultants Private Limited (AAACK1208R)**, **M/s. Kassa Financial Advisors Private Limited (AAACR1298Q)**, **M/s. Mystic Cures Pvt. Ltd. (AACCM9841L)**, **M/s. Guru Trading (AADPB9990K)**, **M/s. GVC Capital (AADPB9990K)**, **M/s. AARB Capital (AADPB9990K)**, **M/s. G&G Impex (AADPB9990K)**, **M/s. Durgamaya Advisors Pvt. Ltd (NOT AVAILABLE)** and **M/s. Primavalue Capital Advisors Pvt. Ltd. (AAFCP8202R)**. A Notice of Demand dated December 18, 2018 has been issued to the above named.

Description of Dues	Amount
Non-compliance of directions of refund to the investors vide order nos. SEBI/WTM/SR/EFD-DRA-II/66/09/2017 dated September 05, 2017 in the matter of M/s. Kassa Finvest Private Limited	FULL FREEZE
Interest	
Costs	
Total	



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“हम हिन्दी पत्राचार का स्वागत करते हैं।”

प्रादेशिक कार्यालय : पाँचवा तल, बैंक ऑफ बड़ौदा भवन, 16, संसद मार्ग, नई दिल्ली-110001 दूरभाष (Telephone): 011-23724001-05 फैक्स (Fax) : 23724006 & 8
REGIONAL OFFICE: 5TH FLOOR, BANK OF BARODA BUILDING, 16, SANSAD MARG, NEW DELHI - 110001

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई-400051 दूरभाष(Telephone): 022-26449000 फैक्स (Fax) : 022-26449019 to 26449022
Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai-400051 Web. : www.sebi.gov.in



Continuation Sheet

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और विनिमय बोर्ड
**Securities and Exchange
Board of India**

A.P No. 4221 of 2019

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - a) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
 - b) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:
 - a) Details all the Accounts including Lockers held by the defaulter with your Bank,
 - b) Copy of the Account Statement/s for the past one year in respect of all the Accounts;
 - c) Confirmation of Attachment of the said account/s
 - d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoverynro@sebi.gov.in.
7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.





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और विनिमय बोर्ड
**Securities and Exchange
Board of India**
A.P No. 4221 of 2019

Given under my hand and seal at New Delhi on 14th day of January 2019.

SEAL



Copy to:

RECOVERY OFFICER



M/s. Kassa Finvest Private Limited H-35/3, Ground Floor, Connaught Circus, New Delhi-110001	M/s. Kassa Finvest Private Limited WEA 17-A/53, Gurudwara Road, Near Metro Station, Karol Bagh, New Delhi - 110005
Mr. Ashok Kumar Gokul Farm, 5 Pine Drive, DLF Farms, Chattarpur, Mehrauli-110030	Mrs. Anjana Kumar Gokul Farm, 5 Pine Drive, DLF Farms, Chattarpur, Mehrauli-110030
Mr. Siddharth Shankar Gokul Farm, 5 Pine Drive, DLF Farms, Chattarpur, Mehrauli-110030	Ms. Nitika Shankar Gokul Farm, 5 Pine Drive, DLF Farms, Chattarpur, Mehrauli-110030
Mr. Umashankar Sharan Shrivastav B26, Shivam Apartments, D Block, Vikas Puri, New Delhi 110018	M/s. Kassa Holdings & Consultant Private Limited H-35/3, Ground Floor, Connaught Circus, New Delhi-110001
M/s. Kassa Financial Advisors Private Limited H-35/3, Ground Floor, Connaught Circus, New Delhi-110001	M/s. Mystic Cures Pvt. Ltd. H-35/3, Ground Floor, Connaught Circus, New Delhi-110001
M/s. Guru Trading H-35/3, Ground Floor, Connaught Circus, New Delhi-110001	M/s. AARB Capital H-35/3, Ground Floor, Connaught Circus, New Delhi-110001
M/s. G&G Impex H-35/3, Ground Floor, Connaught Circus, New Delhi-110001	M/s. Durgamaya Advisors Pvt. Ltd H-35/3, Ground Floor, Connaught Circus, New Delhi-110001
M/s. Primavalue Capital Advisors Pvt. Ltd. H-35/3, Ground Floor, Connaught Circus, New Delhi-110001	

**With a direction not to receive/ recover/ demand the proceeds/ money held /
to be held in the aforesaid accounts.**

RECOVERY OFFICER





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NORTHERN REGIONAL OFFICE, NEW DELHI**

Tel: 011-23724011, recoverynro@sebi.gov.in

Notice of Attachment of Demat Accounts

Attachment Proceeding No.4222 of 2019
Certificate No.1851 of 2018

National Securities Depository Ltd **Central Depositories Services (I) Ltd**
Trade World, A Wing, 4th & 5th **17th Floor, P J Towers**
Floors, Kamala Mills Compound, **Dalal Street, Mumbai – 400 001**
Lower Parel, Mumbai – 400 013

All Mutual Funds of India

- Whereas a Recovery Certificate No. 1851 dated December 18, 2018 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 80,97,62,785 I-** (*Rupees Eighty Crores Ninety Seven Lakhs Sixty Two Thousand Seven Hundred Eighty Five Only*) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against from **M/s. Kassa Finvest Private Limited (AAACK1206B)**, **Mr. Ashok Kumar (AAFPK7362N)**, **Mr. Umashankar Sharan Shrivastav (AGKPS7923C)**, **Mrs. Anjana Kumar (AHOPK6281C)**, **Mr. Siddharth Shankar (AAMPS8754R)**, **Ms. Nitika Shankar (AADPB9990K)**, **M/s. Kassa Holdings & Consultants Private Limited (AAACK1208R)**, **M/s. Kassa Financial Advisors Private Limited (AAACR1298Q)**, **M/s. Mystic Cures Pvt. Ltd. (AACCM9841L)**, **M/s. Guru Trading (AADPB9990K)**, **M/s. GVC Capital (AADPB9990K)**, **M/s. AARB Capital (AADPB9990K)**, **M/s. G&G Impex (AADPB9990K)**, **M/s. Durgamaya Advisors Pvt. Ltd (NOT AVAILABLE)** and **M/s. Primavalue Capital Advisors Pvt. Ltd. (AAFCP8202R)**. A Notice of Demand dated December 18, 2018 has been issued to the above named.

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Non-compliance of directions of refund to the investors vide order nos. SEBI/WTM/SR/EFD-DRA-II/66/09/2017 dated September 05, 2017 in the matter of M/s. Kassa Finvest Private Limited	FULL FREEZE
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A.P No. 4222 of 2019

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3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you;
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
 - a) Details all the Accounts held by the defaulter with you,
 - b) Copy of the Account Statement/s;
 - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under Section **Section 28A, 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

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