भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Enforcement Department I
Recovery Division II

Tel: 022-26449095
Email: recoveryho@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 3659 of 2018
Certificate No. 1481 of 2018

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

1. Whereas a Recovery **Certificate No.1481 of 2018 dated 31/05/2018** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.551959/- (Rupees Five Lakhs Fifty One Thousand Nine Hundred and Fifty Nine Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **New Era Advisors Pvt. Ltd ["Defaulter"] PAN. AABCN2448P**and the same is due from him in respect of the said certificate. A Notice of Demand **dated 31/05/2018** has been issued to **New Era Advisors Pvt. Ltd.**

Description of Dues	Amount
Penalty imposed **on New Era Advisors Pvt. Ltd (PAN No. AABCN2448P)** by the Adjudicating Officer vide order no. EAD-5/SVKM/DS/AO/90/2017-18 dated 25/07/2017 in the matter of Maharashtra Polybutenes Limited with respect to New Era Advisors Pvt. Ltd..	500000
Interest from 25/07/2017 to 31/05/2018 @ 12% p.a.	50959
Recovery cost	1000
Total	551959

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above said dues**:

i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   a) Details of all the Accounts including Lockers held by the defaulter with your Bank;
   b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s and lockers; and
   d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 22nd day of June 2018.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

RECOVERY OFFICER
ATANU PAN / अतनु पान
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनिमय बोर्ड
Mumbai / मुंबई

Copy to:

New Era Advisors Pvt. Ltd
(PAN:AABCN2448P)
Plot no.R-802 TTC Industrial Area, Thane, Belapur Road,
MHAPE,
Navi Mumbai 400701

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Enforcement Department I
Recovery Division II

Tel: 022-26449095
Email: recoveryho@sebi.gov.in

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Attachment Proceeding No. 3660 of 2018
Certificate No.1481 of 2018

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.

1. Whereas a Recovery **Certificate No.1481 of 2018 dated 31/05/2018** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.551959/- (Rupees Five Lakhs Fifty One Thousand Nine Hundred and Fifty Nine Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **New Era Advisors Pvt. Ltd["Defaulter"] PAN. AABCN2448P** and the same is due from him in respect of the said certificate. A Notice of Demand **dated 31/05/2018** has been issued to **New Era Advisors Pvt. Ltd.**

Description of Dues	Amount
Penalty imposed on **New Era Advisors Pvt. Ltd (PAN No. AABCN2448P)** by the Adjudicating Officer vide order no. EAD-5/SVKM/DS/AO/90/2017-18 dated 25/07/2017 in the matter of Maharashtra Polybutenes Limited with respect to New Era Advisors Pvt. Ltd..	500000
Interest from 25/07/2017 to 31/05/2018 @ 12% p.a..	50959
Recovery cost	1000
Total	551959

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
   i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

...2..

ii) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.

   a) Details of all the Accounts/folios held by the defaulter with you;
   b) Copy of the Account Statement/s; and
   c) Confirmation of Attachment of the said accounts/folios

6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 22nd day of June 2018.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION *

RECOVERY OFFICER

ATANU PAN / अतनु पान
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूती एवं विनिमय बोर्ड
Mumbai / मुंबई

Copy to:

New Era Advisors Pvt. Ltd
(PAN:AABCN2448P)
Plot no.R-802 TTC Industrial Area, Thane, Belapur Road,
MHAPE,
Navi Mumbai 400701

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).