



**Southern Regional Office
Recovery Division**

**भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India
044-28880222
Email: recoveryysro@sebi.gov.in**

Notice of Attachment of Bank Account

Attachment Proceeding No. 3425 of 2018
Certificate No. 1361 of 2018

✓ **The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.**

- Whereas a Recovery Certificate No. 1361 of 2018 dated 01.02.2018 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 133,00,01,000/- (Rupees One Thirty Three Crores and One Thousand Only) as detailed below along with further interest, all costs, charges and expenses etc. against **Lee Capital Services Pvt. Ltd. (PAN- AABCL1849A), Shri. Santhosh Kumar K.L. (PAN- AMOPK1159Q) and Shri. Kunjiraman Pillai (PAN-ANCPP3867H) ["Defaulters"]** and the same is due from them in respect of the said certificate. Notices of Demand dated 01.02.2018 has been issued to the Defaulters.

Description of Dues	Amount (Rs.)
Order No. WTM/SR/SEBI/MIRSD/22/03/2016 dated 31.03.2016 passed by the Whole Time Member against Lee Capital Services Pvt. Ltd., Shri. Santhosh Kumar K.L. and Shri. Kunjiraman Pillai in the matter of Lee Capital Services Pvt. Ltd.	133,00,00,000
Interest	At Actuals
Recovery cost	1,000
Total	133,00,01,000

- And whereas there is sufficient reason to believe that the defaulters may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

- All account/s by whatever name called including lockers of the Defaulters, either singly or jointly with any other person/s, held with your Bank; and

- All other amount/proceeds due or may become due to the Defaulters or any money held or may subsequently hold for or on account of the Defaulters.

....2



दक्षिणी प्रादेशिक कार्यालय : 'ओवरसीज टॉवर्स' सातवी मंज़िल, 756-एल, अन्ना सालै, चेन्नई - 600 002

Southern Regional Office : Overseas Towers, 7th Floor, 756-L, Anna Salai, Chennai - 600 002. Phone : 044 - 2852 6686, 2888 0222 Fax : 044 - 2888 0333 e-mail : sebisro@sebi.gov.in



अनुवर्ती:
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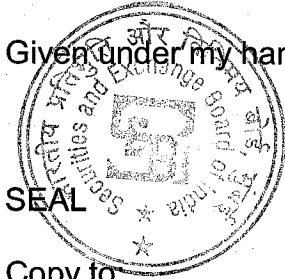
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भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

A.P. No. 3425 of 2018

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
 - a) Details of all the Accounts including Lockers held by the defaulters with your Bank;
 - b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
 - c) Confirmation of Attachment of the said account/s; and
 - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulters are not having any type of account with your bank/not having any balance in the account of the defaulters, the same shall be also informed on the email: recoverysro@sebi.gov.in.
7. This Notice of attachment is issued in exercise of powers conferred under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Chennai this 01st Day of February, 2018.



SEAL

Copy to:

RECOVERY OFFICER

जी. रामर

G. RAMAR

वसुली अधिकारी एवं महा प्रबंधक

Lee Capital Services Ltd. TC-25/2709, Kohinoor Flats, Luke's Lane, Ambuja Vilasam Road, Pulimoodu, Thiruvananthapuram, Kerala- 695001	Shri. Santhosh Kumar K.L. Kurianikkara Veedu, Kaithode P.O., Nilamel, Kollam, Kerala- 691535	Shri. Kunjiraman Pillai Kurianikkara Veedu, Kaithode P.O., Nilamel, Kollam, Kerala- 691535
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With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).



**Southern Regional Office
Recovery Division**

**भारतीय प्रतिभूति
और विनिमय बोर्ड**
**Securities and Exchange
Board of India**
Tel: 044-28880222
Email: recovery.sro@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 3426 of 2018
Certificate No. 1361 of 2018

✓ **M/s. National Securities Depository Ltd.**
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

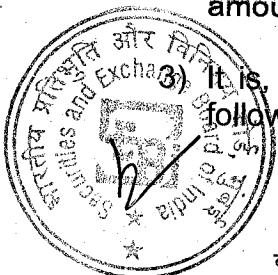
**The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India.**

- 1) Whereas a Recovery Certificate No. 1361 of 2018 dated 01.02.2018 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 133,00,01,000/- (Rupees One Thirty Three Crores and One Thousand Only) as detailed below along with further interest, all costs, charges and expenses etc. against **Lee Capital Services Pvt. Ltd. (PAN- AABCL1849A)**, **Shri. Santhosh Kumar K.L. (PAN- AMOPK1159Q)** and **Shri. Kunjiraman Pillai (PAN-ANCPP3867H)** ["Defaulters"] and the same is due from them in respect of the said certificate. Notices of Demand dated 01.02.2018 has been issued to the Defaulters.

Description of Dues	Amount (Rs.)
Order No. WTM/SR/SEBI/MIRSD/22/03/2016 dated 31.03.2016 passed by the Whole Time Member against Lee Capital Services Pvt. Ltd., Shri. Santhosh Kumar K.L. and Shri. Kunjiraman Pillai in the matter of Lee Capital Services Pvt. Ltd.	133,00,00,000
Interest	At Actuals
Recovery cost	1,000
Total	133,00,01,000

- 2) And whereas there is sufficient reason to believe that the Defaulters may dispose off the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3) It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :



...2

दक्षिणी प्रादेशिक कार्यालय : 'ओवरसीज टॉवर्स' सातवी मंजिल, 756-एल, अन्ना सालै, चेन्नई - 600 002

Southern Regional Office : Overseas Towers, 7th Floor, 756-L, Anna Salai, Chennai - 600 002. Phone : 044 - 2852 6686, 2888 0222 Fax : 044 - 2888 0333 e-mail : sebisro@sebi.gov.in

सेबी भवन, प्लॉट सं, सी4-ए, "जी" ब्लॉक, बांद्रा - कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051. "SEBI BHAVAN", Plot No.C4-A, "G" Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051.



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भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

A.P. No. 3426 of 2018

- i) All Demat Account/s by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you.
- ii) All funds /folios/schemes held by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you.
- 4) It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
- 5) You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
 - a) Details of all the Accounts held by the defaulter with you;
 - b) Copy of the Account Statement/s; and
 - c) Confirmation of Attachment of the said account/s
- 6) This Notice of attachment is issued in exercise of powers conferred under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Chennai this 01st Day of February, 2018.



RECOVERY OFFICER

जी. रामर

G. RAMAR

वसूली अधिकारी एवं महा प्रबंधक
Recovery Officer & General Manager

Copy to:

Lee Capital Services Ltd. TC-25/2709, Kohinoor Flats, Luke's Lane, Ambuja Vilasam Road, Pulimoodu, Thiruvananthapuram, Kerala- 695001	Shri. Santhosh Kumar K.L. Kurianikkara Veedu, Kaithode P.O., Nilamel, Kollam, Kerala- 691535	Shri. Kunjiraman Pillai Kurianikkara Veedu, Kaithode P.O., Nilamel, Kollam, Kerala- 691535
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Recovery Division**

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और विनिमय बोर्ड
Securities and Exchange
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Tel: 044-28880222
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Notice of Attachment of Demat Account

Attachment Proceeding No. 3426 of 2018
Certificate No. 1361 of 2018

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
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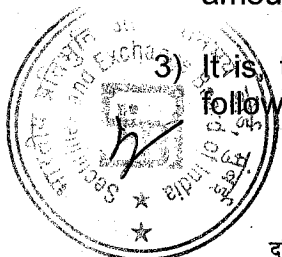
**The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India.**

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Interest	At Actuals
Recovery cost	1,000
Total	133,00,01,000

- 2) And whereas there is sufficient reason to believe that the Defaulters may dispose off the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

- 3) It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :



दक्षिणी प्रादेशिक कार्यालय : 'ओवरसीज टॉवर्स' सातवी मंजिल, 756-एल, अन्ना सालै, चेन्नई - 600 002

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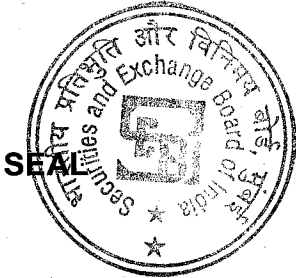
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और विनिमय बोर्ड
**Securities and Exchange
Board of India**

A.P. No. 3426 of 2018

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RECOVERY OFFICER

जी. रामर

G. RAMAR

वसूली अधिकारी एवं महा प्रबंधक
Recovery Officer & General Manager

भारतीय प्रतिभूति और विनिमय बोर्ड, चेन्नई

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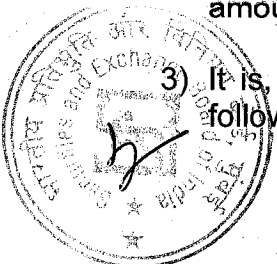
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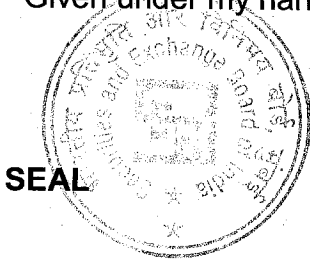
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और विनिमय बोर्ड
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SEAL

RECOVERY OFFICER

जी. रामर

G. RAMAR

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