



Enforcement Department  
Recovery Division

Tel: 022-26449570  
Email: recovery@sebi.gov.in

## Notice of Attachment of Bank Account

Attachment Proceeding No. 3285 of 2017  
Certificate No. 1257 of 2017

The Principal Officer /  
Chairman & Managing Director / CEO  
All the Banks in India.

1. Whereas a Recovery **Certificate No.1257 of 2017 dated 27.11.2017** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.18461000/- (Rupees one crore eighty four lakh sixty one thousand only) along with assured returns, etc. as detailed given below along with further interest, all costs, charges and expenses etc. against **Unique Vision Financial Advisory Pvt. Ltd. (PAN : AABCU3600J)** and its directors **Mr. Ravindra Shankar Kaurav (PAN:AOTPK5176L)** and **Mr. Chandrakant Shamrao Dhole (PAN:AGJPD5155A) ["Defaulter"]** and the same is due from him in respect of the said certificate. A Notice of Demand dated **27.11.2017** has been issued to **Unique Vision Financial Advisory Pvt. Ltd. (PAN : AABCU3600J)** and its directors **Mr. Ravindra Shankar Kaurav (PAN:AOTPK5176L)** and **Mr. Chandrakant Shamrao Dhole (PAN:AGJPD5155A)**.

Description of Dues	Amount
Order no. WTM/PS/22/IMP/Dof-I/JULY/2015 dated July 22, 2015 for refund of monies to investors	18460000
Assured returns and Interest	At Actuals
Recovery cost	1000
<b>Total</b>	<b>18461000</b>

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

- i) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
- ii) All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

...2...



सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :  
Continuation :

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

A .P. No.3285 of 2017

..2..

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
  - a) Details all the Accounts including Lockers held by the defaulter with your Bank;
  - b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account/s; and
  - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: [recovery@sebi.gov.in](mailto:recovery@sebi.gov.in).
7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 27th Day of November, 2017.

SEAL

Copy to:



**RECOVERY OFFICER**

D.V. Seknar

डी. वी. शेखर

Regional Manager & Recovery Officer

महाप्रबंधक एवं वसूली अधिकारी

Securities And Exchange Board of India

भारतीय प्रतिभूति और विनिमय बोर्ड

Mumbai

मुंबई

1. Unique Vision Financial Advisory Pvt. Ltd. (PAN : AABCU3600J)  
Survey No. 48/3, C/4,  
Heaven Park,  
Mohammad Wadi,  
Hadapsar, Pune – 411028
2. Mr. Ravindra Shankar Kaurav (PAN:AOTPK5176L)  
Surevay No. 75, Sayyed Nagar,  
Hamal Chawl,  
Hadapsar, Pune – 411028
3. Mr. Chandrakant Shamrao Dhole (PAN:AGJPD5155A)  
Survey No. 48/3, C/4,  
Heaven Park,  
Mohammad Wadi,  
Hadapsar, Pune – 411028

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held the aforesaid accounts).





Enforcement Department  
Recovery Division

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

Tel: 022-26449570  
Email: recovery@sebi.gov.in

## Notice of Attachment of Demat Account

Attachment Proceeding No. 3286 of 2017  
Certificate No.1257 of 2017

**M/s. National Securities Depository Ltd.**  
4th floor, 'A', Wing, Trade World  
Kamala Mills Compound  
Senapati Bapat Marg  
Lower Parel, Mumbai – 400 013.

**M/s. Central Depository Services (I) Ltd.**  
P J Towers, 17th floor  
Dalal Street  
Fort, Mumbai – 400001

**The Principal Officer / Chairman & Managing Director / CEO  
All the Mutual Funds in India.**

- Whereas a Recovery **Certificate No.1257 of 2017 dated 27.11.2017** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.18461000/- (Rupees one crore eighty four lakh sixty one thousand only) along with assured returns, etc.as detailed given below along with further interest, all costs, charges and expenses etc. against **Unique Vision Financial Advisory Pvt. Ltd. (PAN : AABCU3600J)** and its directors **Mr. Ravindra Shankar Kaurav (PAN:AOTPK5176L)** and **Mr. Chandrakant Shamrao Dhole (PAN:AGJPD5155A) ["Defaulter"]** and the same is due from him in respect of the said certificate. A Notice of Demand dated **27.11.2017** has been issued to **Unique Vision Financial Advisory Pvt. Ltd. (PAN : AABCU3600J)** and its directors **Mr. Ravindra Shankar Kaurav (PAN:AOTPK5176L)** and **Mr. Chandrakant Shamrao Dhole (PAN:AGJPD5155A).**

Description of Dues	Amount
Order no. WTM/PS/22/IMP/Dof-I/JULY/2015 dated July 22, 2015 for refund of monies to investors	18460000
Assured returns and Interest	At Actuals
Recovery cost	1000
<b>Total</b>	<b>18461000</b>

- And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
  - All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

...2..

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.  
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.  
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in





अनुवर्ती :  
Continuation :

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

..2..

A.P. No.3286 of 2017

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
  - a) Details of all the Accounts held by the defaulter with you;
  - b) Copy of the Account Statement/s; and
  - c) Confirmation of Attachment of the said account/s
6. If the defaulter is not having any type of account with you/not having any balance in the account of the defaulter, the same shall be also informed on the email: [recovery@sebi.gov.in](mailto:recovery@sebi.gov.in).
7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 27th Day of November, 2017.

SEAL

Copy to:



*D.V. Sekhar*

RECOVERY OFFICER

D.V. Sekhar

डी. वी. शेखर

General Manager & Recovery Officer  
महाप्रबंधक एवं वसूली अधिकारी  
Securities And Exchange Board of India  
भारतीय प्रतिभूति और विनिमय बोर्ड  
Mumbai  
मुंबई

1. Unique Vision Financial Advisory Pvt. Ltd. (PAN : AABCU3600J)  
Survey No. 48/3, C/4,  
Heaven Park,  
Mohammad Wadi,  
Hadapsar, Pune – 411028
2. Mr. Ravindra Shankar Kaurav (PAN:AOTPK5176L)  
Surevay No. 75, Sayyed Nagar,  
Hamal Chawl,  
Hadapsar, Pune – 411028
3. Mr. Chandrakant Shamrao Dhole (PAN:AGJPD5155A)  
Survey No. 48/3, C/4,  
Heaven Park,  
Mohammad Wadi,  
Hadapsar, Pune – 411028

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).