



RECOVERY CELL  
NORTHERN REGIONAL OFFICE, NEW DELHI

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

Tel: 011-23724011  
Email: [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in)

**Notice of Attachment of Bank Account**

Attachment Proceeding No. 2946 of 2017  
Certificate No.1081 of 2017

**The Principal Officer/  
Chairman & Managing Director / CEO  
All the Banks in India**

1. Whereas a Recovery Certificate No.1081 dated February 07, 2017 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs 26,13,000** (Rupees Twenty Six Lakh Thirteen Thousand only) with returns due to investors, along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against from **M/s Raghav Capital & Infrastructure Ltd**, PAN (**AAECR1541A**) and its directors namely **Mr. Raj Kumar Raghav (AJIPR8427K)**, **Ms. Nirmala Raghav (AHWP9045M)**, **Ms. Ritu Verma (AGPPV1332D)** [Defaulters], as detailed below. A Notice of Demand dated February 07, 2017 has been issued to the above named.

Description of Dues	Amount (Rs)
Non-compliance of directions of refund to investors vide order no WTM/PS/75/CIS-NRO/LKO/OCT/2015 dtd Oct 19, 2015 in the matter of M/s Raghav Capital & Infrastructure Ltd	<b>FULL FREEZE</b>
Interest	
Costs	
<b>Total</b>	

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**“हम हिन्दी पत्राचार का स्वागत करते हैं।”**

प्रादेशिक कार्यालय : पाँचवा तल, बैंक ऑफ़ बड़ौदा भवन, 16, संसद मार्ग, नई दिल्ली-110001 दूरभाष (Telephone): 011-23724001-05 फ़ैक्स (Fax) : 23724006 & 8  
REGIONAL OFFICE: 5TH FLOOR, BANK OF BARODA BUILDING, 16, SANSAD MARG, NEW DELHI - 110001

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला काम्प्लेक्स, बान्द्रा (पूर्व) मुंबई-400051 दूरभाष (Telephone): 022-26449000 फ़ैक्स (Fax): 022-26449019 to 26449022  
Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai-400051 Web. : [www.sebi.gov.in](http://www.sebi.gov.in)



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**Securities and Exchange  
Board of India**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
  - a) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - b) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:
  - a) Details all the Accounts including Lockers held by the defaulter with your Bank,
  - b) Copy of the Account Statement/s for the past one year in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account/s
  - d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in).





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7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at New Delhi on 07<sup>th</sup> day of February 2017.

SEAL



**RECOVERY OFFICER**

मौहम्मद अहमद  
Recovery Officer  
Securities and Exchange Board of India  
Regional Office

Copy to:

Raghav Capital & Infrastructure Ltd 19-B,Manas Nagar, Jiya Mau, Near Cancer Hospital, Hazratganj, Lucknow-226001	Mr Raj Kumar Raghav H.No -43 OM Bhawan,Ext. 2B, Nangloi,Delhi-110041
Ms. Nirmala Raghav 1/90,Vinay Khand,Gomti Nagar,Lucknow- 226010	Mr. Ms.Ritu Verma 557/3/350,Om,Nagar,Alam Bagh,Lucnow-226010

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

**RECOVERY OFFICER**

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Recovery Officer  
Securities and Exchange Board of India  
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### Notice of Attachment of Demat Account

Attachment Proceeding No 2947 of 2017  
Certificate No.1081 of 2017

**National Securities Depository Ltd**  
Trade World, A Wing, 4<sup>th</sup> & 5<sup>th</sup>  
Floors, Kamala Mills Compound,  
Lower Parel, Mumbai – 400 013

**Central Depositories Services (I) Ltd**  
17<sup>th</sup> Floor, P J Towers  
Dalal Street, Mumbai – 400 001

### All Mutual Funds of India

1. Whereas a Recovery Certificate No.1081 dated February 07, 2017 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs 26,13,000** (Rupees Twenty Six Lakh Thirteen Thousand only) with returns due to investors, along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against **M/s Raghav Capital & Infrastructure Ltd (AAECR1541A)** and its directors namely **Mr. Raj Kumar Raghav (AJIPR8427K)**, **Ms. Nirmala Raghav (AHWPN9045M)**, **Ms. Ritu Verma (AGPPV1332D)** [Defaulters]. A Notice of Demand dated February 07, 2017 has been issued to the above named.

Description of Dues	Amount (Rs)
Non-compliance of directions of refund to investors vide order no WTM/PS/75/CIS-NRO/LKO/OCT/2015 dtd Oct 19, 2015 in the matter of M/s Raghav Capital & Infrastructure Ltd	<b>FULL FREEZE</b>
Interest	
Costs	
<b>Total</b>	

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**Securities and Exchange  
Board of India**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities / instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you;
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
  - a) Details all the Accounts held by the defaulter with you,
  - b) Copy of the Account Statement/s;
  - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under Section **Section 28A, 11(2) (ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at New Delhi on 07<sup>th</sup> day of February, 2017.

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Ms. Nirmala Raghav 1/90, Vinay Khand, Gomti Nagar, Lucknow- 226010	Mr. Ms. Ritu Verma 557/3/350, Om, Nagar, Alam Bagh, Lucknow-226010

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