



भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

Enforcement Department  
Recovery Division

Tel: 022-26449095  
Email: recoveryho@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 2569 of 2016  
Certificate No. 925 of 2016

The Principal Officer /  
Chairman & Managing Director / CEO  
All the Banks in India.

1. Whereas a Recovery Certificate No.925 of 2016 dated June 22, 2016 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.154392/- (Rupees One Lakh Fifty Four Thousand Three Hundred Ninety Two Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **Urmila Lakhotia ["Defaulter"] PAN: AAFPL8638L** and the same is due from him in respect of the said certificate. A Notice of Demand dated June 22, 2016 has been issued to **Urmila Lakhotia**.

Description of Dues	Amount
Penalty imposed on Urmila Lakhotia (PAN: AAFPL8638L) by the Adjudicating Officer vide order no. BM/AO-4-6/2012 dated 11.01.2012 in the matter of Fact Enterprises Ltd.	100000
Interest from 11/01/2012 to 22/06/2016 @ 12% p.a.	53392
Recovery cost	1000
<b>Total</b>	<b>154392</b>

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
- All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.



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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :  
Continuation :

भारतीय प्रतिभूति  
और विनियम बोर्ड  
Securities and Exchange  
Board of India

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A .P. No. 2569 of 2016

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
  - a) Details of all the Accounts including Lockers held by the defaulter with your Bank;
  - b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account/s and lockers; and
  - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: [recoveryho@sebi.gov.in](mailto:recoveryho@sebi.gov.in).
7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 22nd day of June 2016.

SEAL

Copy to:

Urmila Lakhotia  
(PAN:AAFPL8638L)  
2/47, Juhu Supreme Shopping Centre, Gulmohar (X)  
Road No. 09,  
JVPD Scheme, Vile Parle (W),  
Mumbai 400049



RECOVERY OFFICER

ATANU PAN / अतनु पान  
Dy. General Manager & Recovery Officer  
उप महाप्रबंधक एवं वसूली अधिकारी  
Securities and Exchange Board of India  
भारतीय प्रतिभूती एवं विनियम बोर्ड  
Mumbai / मुंबई

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).



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Securities and Exchange  
Board of India

Enforcement Department  
Recovery Division

Tel: 022-26449095

Email: recoveryho@sebi.gov.in

**Notice of Attachment of Demat Account and Mutual Fund Folio(s)**

Attachment Proceeding No. 2570 of 2016  
Certificate No. 925 of 2016

**M/s. National Securities Depository  
Ltd.**

4th floor, 'A', Wing, Trade World  
Kamala Mills Compound  
Senapati Bapat Marg  
Lower Parel, Mumbai – 400 013.

**The Principal Officer /Chairman & Managing Director / CEO  
All the Mutual Funds in India.**

**M/s. Central Depository Services (I) LI**

P J Towers, 17th floor  
Dalal Street  
Fort, Mumbai – 400001

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Description of Dues	Amount
Penalty imposed on <b>Urmila Lakhotia (PAN: AAFPL8638L)</b> by the Adjudicating Officer vide order no. BM/AO-4-6/2012 dated 11.01.2012 in the matter of Fact Enterprises Ltd.	100000
Interest from 11/01/2012 to 22/06/2016 @ 12% p.a.	53392
Recovery cost	1000
<b>Total</b>	<b>154392</b>

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :

- i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

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अनुवर्ती :  
Continuation :

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

A .P. No. 2570 of 2016

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- ii) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
- a) Details of all the Accounts/folios held by the defaulter with you;  
b) Copy of the Account Statement/s; and  
c) Confirmation of Attachment of the said accounts/folios
6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: [recoveryho@sebi.gov.in](mailto:recoveryho@sebi.gov.in).
7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 22nd day of June 2016.

SEAL



Copy to: Urmila Lakhota  
(PAN:AAFPL8638L)  
2/47, Juhu Supreme Shopping Centre,  
Gulmohar (X) Road No. 09,  
JVPD Scheme, Vile Parle (W),  
Mumbai 400049

RECOVERY OFFICER

ATANU PAN / अतनु पान  
Dy. General Manager & Recovery Officer  
उप महाप्रबंधक एवं वसूली अधिकारी  
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भारतीय प्रतिभूती एवं विनिमय बोर्ड  
Mumbai / मुंबई

**(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).**