



RECOVERY CELL  
EASTERN REGIONAL OFFICE

भारतीय प्रतिभूति  
और विनियम बोर्ड

Securities and Exchange  
Board of India

Tel: 033-23023000

E Mail: recoveryero@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 2370 of 2015

Certificate No. 825 of 2015

The Managing Director,  
National Securities Depository Limited,  
Trade World, A wing, 4th & 5th Floors,  
Kamala Mills Compound, Lower Parel,  
Mumbai - 400013.

The Managing Director,  
Central Depository Services (India) Limited,  
17th Floor, P J Towers, Dalal Street,  
Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO  
All the Mutual Funds in India

- Whereas a Recovery Certificate No. 825 of 2015 dated 04.12.2015 has been drawn up by the Recovery Officer in the above proceedings for recovery of Rs. 17.51 Crore along with the money collected from investors, till 29.04.2015, pending allotment of RPS, if any, with an interest of 15% p.a. compounded at half yearly intervals, from the date when the repayments become due (in terms of section 73(2) of the Companies Act, 1956) to the investors till the date of actual repayment, along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against (1) Sun Plant Forgings Limited (PAN : AABCN9633N) and its Directors/Promoters (2) Mr. Abhinandan Kumar Singh (PAN : BEMPS1317D), (3) Mr. Sumanta Sinha (PAN : ALBPS3314F), (4) Mr. Neeraj Pathak (PAN : BAZPP2860B) and (5) Mr. Arup Kumar De (PAN : AUCPD7283Q) ["Defaulters"] and the same is due from them in respect of the said certificate. A Notice of Demand dated 04.12.2015 has been issued to the above named.

Description of Dues	Amount
Non-Compliance of direction of refund to investors vide order no. WTM/PS/07/ERO/APR/2015 dated 29.04.2015, in the matter of Sun Plant Forgings Limited.	FULL FREEZE
Interest	
Costs	
Total	

- And whereas there is sufficient reason to believe that the defaulters may dispose off the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.





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3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following :
- All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
  - All funds /folios/schemes held by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you
4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
- Details of all the Accounts held by the defaulter with you,
  - Copy of the Account Statement/s ; and
  - Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 4th day of December, 2015.

SEAL



RECOVERY OFFICER  
**MANJESH. S. ROY**

Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata

Copy to:

- (1) Sun Plant Forgings Limited - 14, G.C. Avenue, 5th Floor, Kolkata – 700 013
- (2) Shri Abhinandan Kumar Singh - RTC House, 4, Dr. Suresh Sarkar Road, Kolkata – 700 014
- (3) Shri Sumanta Sinha - 188/26, Prince Anwar Shah Road, (Jodhpur Garden), Lake, Kolkata – 700 045
- (4) Shri Neeraj Pathak - CB – 7/A, Ground Floor, Railpukur Road, Deshbandhu nagar, Near Joda Mandir, Kolkata – 7000
- (5) Shri Arup Kumar De - Ramkrishna Palli (Aangshik) Nasana, North Dum Dum, Nimta, 24 Parganas, Kolkata – 7000.

**With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.**

RECOVERY OFFICER



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Notice of Attachment of Bank Account

Attachment Proceeding No. 2369 of 2015

Certificate No. 825 of 2015

The Principal Officer/  
Chairman & Managing Director/ CEO,  
All the Banks in India

- Whereas a **Recovery Certificate No. 825 of 2015 dated 04.12.2015**, has been drawn up by the Recovery Officer in the above proceedings for recovery of Rs. 17.51 Crore along with the money collected from investors, till 29.04.2015, pending allotment of RPS, if any, with an interest of 15% p.a. compounded at half yearly intervals, from the date when the repayments become due (in terms of section 73(2) of the Companies Act, 1956) to the investors till the date of actual repayment, along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below, against (1) **Sun Plant Forgings Limited (PAN : AABCN9633N)** and its Directors/Promoters (2) **Mr. Abhinandan Kumar Singh (PAN : BEMPS1317D)**, (3) **Mr. Sumanta Sinha (PAN : ALBPS3314F)**, (4) **Mr. Neeraj Pathak (PAN : BAZPP2860B)** and (5) **Mr. Arup Kumar De (PAN : AUCPD7283Q) ["Defaulters"]** and the same is due from them in respect of the said certificate. A Notice of Demand dated 04.12.2015 has been issued to the above named.

Description of Dues	Amount
Non-Compliance of direction of refund to investors vide order no. WTM/PS/07/ERO/APR/2015 dated 29.04.2015, in the matter of Sun Plant Forgings Limited.	FULL FREEZE
Interest	
Costs	
Total	

- And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
  - All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.





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**Securities and Exchange  
Board of India**

4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
  - i. Details of all the Accounts including Lockers held by the defaulter with your Bank,
  - ii. Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
  - iii. Confirmation of Attachment of the said account/s
  - iv. Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.
7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this **4th day of December, 2015**.

SEAL



**RECOVERY OFFICER  
MANJESH. S. ROY**

Recovery Officer & Dy. General Manager  
Securities and Exchange Board of India  
Kolkata

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- (4) Shri Neeraj Pathak - CB – 7/A, Ground Floor, Railpukur Road, Deshbandhu nagar, Near Joda Mandir, Kolkata – 7000
- (5) Shri Arup Kumar De - Ramkrishna Palli (Aangshik) Nasana, North Dum Dum, Nimta, 24 Parganas, Kolkata – 7000

**With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.**

**RECOVERY OFFICER**