भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000
Email: recoveryero@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 2204 of 2015
Certificate No. 755 of 2015

The Principal Officer/
Chairman & Managing Director/ CEO,
All the Banks in India

1. Whereas a Recovery Certificate No. 755 dated July 27, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 2,56,978/- (Rupees Two Lakh Fifty Six Thousand Nine Hundred and Seven Eight only)** as detailed below along with further interest, all costs, charges and expenses etc., against **M Bhiwaniwala & Co. (Madhu Bhiwaniwala - Prop.)** ["**Defaulter**"] **PAN: AGOPB1751B** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 27, 2015, has been issued to **M Bhiwaniwala & Co. (Madhu Bhiwaniwala - Prop.)**

Description of Dues	**Amount**
Penalty imposed by the Adjudicating Officer vide order no. VSS/AO-136/2009 dated September 08, 2009, in the matter of **M/s Shree Nidhi Trading Co Limited**.	Rs.1,50,000/-
Interest from September 08, 2009, to July 27, 2015 @ 12% p.a.	Rs. 1,05,978/-
Costs	Rs. 1,000/-
Total	**Rs. 2,56,978/-**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

   i. All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
   ii. All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

SECURITIES AND EXCHANGE BOARD OF INDIA
RECOVERY DIVISION

क्षेत्रिय कार्यालय : एल एण्ड टी चेम्बर्स, तीसरी मंजिल, 16, केमैक स्ट्रीट, कोलकाता - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in
Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, E-mail : sebiero@sebi.gov.in
प्रधान कार्यालय : सेबी भवन, प्लाट सं. सी-4ए, जी-ब्लाक, बान्द्रा कुर्ला कम्प्लेक्स, मुंबई - 400 051, दूरभाष : 2644 9000, फैक्स : 26449019 to 26449022, वेब : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in
Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in

4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   i. Details of all the Accounts including Lockers held by the defaulter with your Bank,
   ii. Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
   iii. Confirmation of Attachment of the said account/s
   iv. Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.

7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 27th day of July, 2015.

SECURITIES AND EXCHANGE BOARD OF INDIA ★ RECOVERY DIVISION-ERO ★

SEAL

RECOVERY OFFICER
MANJESH. S. ROY
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Kolkata

Copy to:

M/s Bhiwaniwala & Co. (Madhu Bhiwaniwala - Prop.)

Address: 16, India Exchange Place, Ground Floor, Kolkata - 700001

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

RECOVERY OFFICER

क्षेत्रिय कार्यालय : एन एण्ड टी चेम्बर्स, तीसरी मंजिल, 16, केमैक स्ट्रीट, कोलकाता - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in
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Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in

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और विनिमय बोर्ड
Securities and Exchange Board of India

RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000
Email: recoveryero@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 2205 of 2015
Certificate No. 755 of 2015

The Managing Director,
National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound, Lower Parel,
Mumbai - 400013.

The Managing Director,
Central Depository Services (India) Limited,
17th Floor, P J Towers, Dalal Street,
Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India

1. Whereas a Recovery Certificate No. 755 dated July 27, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 2,56,978/- (Rupees Two Lakh Fifty Six Thousand Nine Hundred and Seven Eight only)** as detailed below along with further interest, all costs, charges and expenses etc., against **M Bhiwaniwala & Co. (Madhu Bhiwaniwala - Prop.)** ["**Defaulter**"] **PAN: AGOPB1751B** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 27, 2015, has been issued to **M Bhiwaniwala & Co. (Madhu Bhiwaniwala - Prop.)**

Description of Dues	**Amount**
Penalty imposed by the Adjudicating Officer vide order no. VSS/AO-136/2009 dated September 08, 2009, in the matter of **M/s Shree Nidhi Trading Co Limited**.	Rs.1,50,000/-
Interest from September 08, 2009, to July 27, 2015 @ 12% p.a.	Rs. 1,05,978/-
Costs	Rs. 1,000/-
Total	**Rs. 2,56,978/-**

2. And whereas there is sufficient reason to believe that the defaulters may dispose off the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following :

SECURITIES AND EXCHANGE BOARD OF INDIA * RECOVERY DIVISION-ERO *

A.P. No.2205 of 2015

क्षेत्रिय कार्यालय : एल एण्ड टी चेम्बर्स, तीसरी मंजिल, 16, केमैक स्ट्रीट, कोलकाता - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in
Regional Office : L & T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700017, Ph : (033) 23023000, 22872435, 22876105/6106, Fax : 22874307, e-mail : sebiero@sebi.gov.in
प्रधान कार्यालय : सेबी भवन, प्लाट सं. सी-4ए, जी-ब्लाक, बान्द्रा कुर्ला कम्पलेक्स, मुंबई - 400 051, दूरभाष : 2644 9000, फैक्स : 26449019 to 26449022, वेब : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in
Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in

i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

ii. All funds /folios/schemes held by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you

4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   a) Details of all the Accounts held by the defaulter with you,
   b) Copy of the Account Statement/s ; and
   c) Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this 27th day of July, 2015.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA ★ RECOVERY DIVISION-ERO ★

RECOVERY OFFICER
MANJESH. S. ROY
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Kolkata

Copy to:

M Bhiwaniwala & Co. (Madhu Bhiwaniwala - Prop.)

Address: 16, India Exchange Place, Ground Floor, Kolkata - 700001

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

RECOVERY OFFICER

क्षेत्रिय कार्यालय : एल एण्ड टी चेम्बर्स, तीसरी मंजिल, 16, केमैक स्ट्रीट, कोलकाता - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in
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RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000
E Mail: recoveryero@sebi.gov.in

Notice of Attachment

Attachment Proceeding No. 2210 of 2015
Certificate No. 755 of 2015

The Managing Director,
The Calcutta Stock Exchange Limited
7, Lyons Range, Kolkata, West Bengal 700001

1. Whereas a Recovery Certificate No. 755 dated July 27, 2015, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 2,56,978/- (Rupees Two Lakh Fifty Six Thousand Nine Hundred and Seven Eight only)** as detailed below along with further interest, all costs, charges and expenses etc., against **M Bhiwaniwala & Co. (Madhu Bhiwaniwala - Prop.)** ["**Defaulter**"] **PAN: AGOPB1751B** and the same is due from them in respect of the said certificate. A Notice of Demand dated July 27, 2015, has been issued to **M Bhiwaniwala & Co. (Madhu Bhiwaniwala - Prop.)**

Description of Dues	**Amount**
Penalty imposed by the Adjudicating Officer vide order no. VSS/AO-136/2009 dated September 08, 2009, in the matter of **M/s Shree Nidhi Trading Co Limited**.	Rs.1,50,000/-
Interest from September 08, 2009, to July 27, 2015 @ 12% p.a.	Rs. 1,05,978/-
Costs	Rs. 1,000/-
Total	**Rs. 2,56,978/-**

2. And whereas, it is observed that the defaulter is a Member of **The Calcutta Stock Exchange Limited (SEBI Regn. No. INB030049211)** and likely to have Cash/ Fixed Deposit Receipts/ Bank Guarantees/ Securities / Mutual Fund Units etc deposited with your Exchange for operating his Membership / in compliance of Regulatory requirements. Further, there is sufficient reason to believe that the defaulter may dispose of the above and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
   i. Cash/ Fixed Deposit Receipts/ Bank Guarantees/ Securities / Mutual Fund Units etc of the Defaulter, deposited with you as collateral or by whatever name called, either singly or jointly with any other person/s, held with your Exchange; and

SECURITIES AND EXCHANGE BOARD OF INDIA ★ RECOVERY DIVISION-ERO ★

क्षेत्रिय कार्यालय : एल एण्ड टी चेम्बर्स, तीसरी मंजिल, 16, केमैक स्ट्रीट, कोलकाता - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in
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प्रधान कार्यालय : सेबी भवन, प्लाट सं. सी-4ए, जी-ब्लाक, बान्द्रा कुर्ला कम्प्लेक्स, मुंबई - 400 051, दूरभाष : 2644 9000, फैक्स : 26449019 to 26449022, वेब : www.sebi.gov.in ई-मेल : sebi@sebi.gov.in
Head Office : SEBI Bhawan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Mumbai - 400 051, Tel. : 2644 9000, Fax : 26449019 to 26449022, Web : www.sebi.gov.in E-mail : sebi@sebi.gov.in

ii. All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently held for or on account of the Defaulter.

4. It is further ordered with immediate effect that **NO Debit** shall be made in the said account/s in favour of the Defaulter until further orders from the Recovery Officer of SEBI. However, the credits, if any, may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:

   i. Details of all Cash/ Fixed Deposit Receipts/ Bank Guarantees/ Securities / Mutual Fund Units etc held by the defaulter with your Exchange, and
   ii. Confirmation of Attachment of the aforesaid

6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this **27th day of July, 2015**.

SEAL

SECURITIES AND EXCHANGE BOARD OF INDIA ★ RECOVERY DIVISION-ERO ★

RECOVERY OFFICER
MANJESH. S. ROY
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Kolkata

Copy to:

M Bhiwaniwala & Co. (Madhu Bhiwaniwala - Prop.)

Address: 16, India Exchange Place, Ground Floor, Kolkata - 700001

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

RECOVERY OFFICER

क्षेत्रिय कार्यालय : एस एण्ड टी चेम्बर्स, तीसरी मंजिल, 16, केमैक स्ट्रीट, कोलकाता - 700017, दूरभाष : (033) 23023000, 22872435, 22876105/6106, फैक्स : 22874307, ई-मेल : sebiero@sebi.gov.in
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