



## Notice of Attachment of Bank Account

Attachment Proceeding No. 602 of 2014  
Certificate No.144 of 2014

**The Principal Officer /  
Chairman & Managing Director / CEO  
All the Banks in India.**

1. Whereas a Recovery Certificate No.144 of 2014 dated June 26, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.1,17,227 /- (Rupees One Lakh Seventeen Thousand Two hundred Twenty Seven only) as detailed given below along with further interest, all costs, charges and expenses etc. against M/s DPS Shares & Securities Pvt. Ltd.["Defaulter"] PAN. AABCD1053A and the same is due from him in respect of the said certificate. A Notice of Demand dated June 26, 2014 has been issued to M/s DPS Shares & Securities Pvt. Ltd.

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. SD/AO/135/2009 dated 27.11.2009 in the matter of Fast Track Entertainment Ltd.	75000
Interest from 27.11.2009 to 26.06.2014 @ 12% p.a.	41227
Recovery cost	1000
<b>Total</b>	<b>117227</b>

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
- All other account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
  - All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

...2.





अनुवर्ती :  
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भारतीय प्रतिभूति  
और विनियम बोर्ड

**Securities and Exchange  
Board of India**

**A.P. No.602 of 2014**

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4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
  - a) Details all the Accounts including Lockers held by the defaulter with your Bank;
  - b) Copy of the Account Statement/s in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account/s; and
  - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: [recovery@sebi.gov.in](mailto:recovery@sebi.gov.in).
7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 26<sup>th</sup> day of June, 2014.



*D.V. Sekhar*  
**RECOVERY OFFICER**  
D.V. Sekhar  
Recovery Officer & Dy. Legal Advisor  
Securities and Exchange Board of India  
Mumbai

M/s DPS Shares & Securities Pvt. Ltd.  
414-B, Rotunda Building,  
Bombay Samachar Marg,  
Fort, Mumbai – 400001.

**PAN: AABCD1053A**

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).



भारतीय प्रतिभूति  
और विनियम बोर्ड  
**Securities and Exchange  
Board of India**

## Notice of Attachment of Demat Account

Attachment Proceeding No. 603 of 2014  
Certificate No.144 of 2014

**M/s. National Securities Depository Limited**  
4th floor, 'A', Wing, Trade World  
Kamala Mills Compound  
Senapati Bapat Marg  
Lower Parel, Ahmedabad – 400 013.

1. Whereas a Recovery Certificate No.144 of 2014 dated June 26, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.1,17,227 /- (Rupees One Lakh Seventeen Thousand Two hundred Twenty Seven only) as detailed given below along with further interest, all costs, charges and expenses etc. against **M/s DPS Shares & Securities Pvt. Ltd.["Defaulter"] PAN. AABCD1053A** and the same is due from him in respect of the said certificate. A Notice of Demand dated June 26, 2014 has been issued to **M/s DPS Shares & Securities Pvt. Ltd.**

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. SD/AO/135/2009 dated 27.11.2009 in the matter of Fast Track Entertainment Ltd.	75000
Interest from 27.11.2009 to 26.06.2014 @ 12% p.a.	41227
Recovery cost	1000
<b>Total</b>	<b>117227</b>

2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
- i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

...1.



सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व), मुंबई - 400 051. • दूरभाष: 2644 9000 • फैक्स: 2644 9019 to 2644 9022  
वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051. • Tel.: 2644 9000 • Fax: 2644 9019 to 2644 9022  
Web: www.sebi.gov.in



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भारतीय प्रतिभूति  
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**Securities and Exchange  
Board of India**

A.P. No. 603 of 2014

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4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
  - a) Details of all the Accounts held by the defaulter with you;
  - b) Copy of the Account Statement/s; and
  - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 26<sup>th</sup> day of June, 2014.

SEAL



Copy to:

M/s DPS Shares & Securities Pvt. Ltd.  
414-B, Rotunda Building,  
Bombay Samachar Marg,  
Fort, Mumbai – 400001.

PAN: AABCD1053A

*D.V. Sekhar*  
**RECOVERY OFFICER**

D.V. Sekhar  
Recovery Officer & Dy. Legal Advisor  
Securities and Exchange Board of India  
Mumbai

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).



भारतीय प्रतिभूति  
और विनियम बोर्ड  
**Securities and Exchange  
Board of India**

## Notice of Attachment of Demat Account

Attachment Proceeding No. 604 of 2014  
Certificate No.144 of 2014

**M/s. Central Depository Services (India) Limited**  
P J Towers, 17th floor  
Dalal Street  
Fort, Ahmedabad – 400001

1. Whereas a Recovery Certificate No.144 of 2014 dated June 26, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.1,17,227/- (Rupees One Lakh Seventeen Thousand Two hundred Twenty Seven only) as detailed given below along with further interest, all costs, charges and expenses etc. against M/s DPS Shares & Securities Pvt. Ltd.["Defaulter"] PAN. AABCD1053A and the same is due from him in respect of the said certificate. A Notice of Demand dated June 26, 2014 has been issued to M/s DPS Shares & Securities Pvt. Ltd.

Description of Dues	Amount
Penalty imposed by the Adjudicating Officer vide order no. SD/AO/135/2009 dated 27.11.2009 in the matter of Fast Track Entertainment Ltd.	75000
Interest from 27.11.2009 to 26.06.2014 @ 12% p.a.	41227
Recovery cost	1000
<b>Total</b>	<b>117227</b>

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3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
  - i) All Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

...1.





अनुवर्ती :  
Continuation:

भारतीय प्रतिभूति  
और विनिमय बोर्ड

**Securities and Exchange  
Board of India**

A.P. No.604 of 2014

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4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
  - a) Details of all the Accounts held by the defaulter with you;
  - b) Copy of the Account Statement/s; and
  - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 26<sup>th</sup> day of June, 2014.



*D.V. Sekhar*

**RECOVERY OFFICER**

D.V. Sekhar  
Recovery Officer & Dy. Legal Advisor  
Securities and Exchange Board of India  
Mumbai

M/s DPS Shares & Securities Pvt. Ltd.  
414-B, Rotunda Building,  
Bombay Samachar Marg,  
Fort, Mumbai – 400001.

**PAN: AABCD1053A**

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).