



भारतीय प्रतिभूति
और विनियम बोर्ड
**Securities and Exchange
Board of India**

Enforcement Department
Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 705 of 2014
Certificate No. 172 of 2014

The Principal Officer/
Chairman & Managing Director/ CEO
All the Banks in India

1. Whereas a Recovery Certificate No. 172 dated July 07, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.7,17,164/- (Rupees Seven Lakh Seventeen Thousand One Hundred Sixty Four only) as detailed below along with further interest, all costs, charges and expenses etc., against **M/s Galaxy Broking Limited ["Defaulter"] PAN: AABCG5457B** and the same is due from him in respect of the said certificate. A Notice of Demand dated July 07, 2014 has been issued to **M/s Galaxy Broking Limited.**

Description of Dues	Amount (in Rs.)
Penalty imposed by the Adjudicating Officer vide order no. BM/AO-128/2010 dated November 30, 2010 in the matter of M/s Hit Kit Global Solutions Limited	5,00,000
Interest from November 30, 2010 to July 07, 2014 @ 12% p.a:	2,16,164
Costs	1,000
Total	7,17,164

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:



सेबी भवन, लॉक सं. सी 4-ए, ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व), मुंबई - 400 051. • दूरभाष: 2644 9000 • फैक्स: 2644 9019 to 2644 9022
वेब : www.sebi.gov.in



अनुवर्ती :
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**Securities and Exchange
Board of India**

- i) All account/s by whatever name called, including lockers, held by the Defaulter, either singly or jointly with any other person/s, with your Bank; and
- ii) All amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:

- a) Details all the Accounts including Lockers held by the defaulter with your Bank,
- b) Copy of the Account Statement/s for the past one year in respect of all the Accounts;
- c) Confirmation of Attachment of the said account/s
- d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recovery@sebi.gov.in.

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 7th day of July, 2014.

SEAL

Copy to:

M/s Galaxy Broking Limited

Address:

633/634, P.J. Towers, Dalal Street
Fort, Mumbai-400001



D.V. Sakhar
Recovery Officer

D.V. Sakhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts



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Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

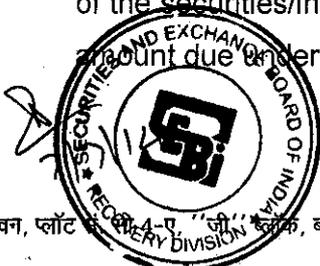
Attachment Proceeding No. 706 of 2014
Certificate No. 172 of 2014

National Securities Depositories Limited
Trade World, "A" Wing, 4th & 5th Floors,
Kamala Mills Compound,
Lower Parel
Mumbai - 400 013

1. Whereas a Recovery Certificate No. 172 dated July 07, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.7,17,164/- (Rupees Seven Lakh Seventeen Thousand One Hundred Sixty Four only) as detailed below along with further interest, all costs, charges and expenses etc., against **M/s Galaxy Broking Limited ["Defaulter"] PAN: AABCG5457B** and the same is due from him in respect of the said certificate. A Notice of Demand dated July 07, 2014 has been issued to **M/s Galaxy Broking Limited.**

Description of Dues	Amount (in Rs.)
Penalty imposed by the Adjudicating Officer vide order no. BM/AO-128/2010 dated November 30, 2010 in the matter of M/s Hit Kit Global Solutions Limited	5,00,000
Interest from November 30, 2010 to July 07, 2014 @ 12% p.a.	2,16,164
Costs	1,000
Total	7,17,164

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.



सेबी भवन, प्लॉट नं. C4-A, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051. • दूरभाष: 2644 9000 • फैक्स: 2644 9019 to 2644 9022
वेब : www.sebi.gov.in



अनुवर्ती :
Continuation :

**Securities and Exchange
Board of India**

3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you;

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice.:

- Details all the Accounts held by the defaulter with you,
- Copy of the Account Statement/s;
- Confirmation of Attachment of the said account/s

6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 7th day of July, 2014.

SEAL



Copy to:

M/s Galaxy Broking Limited
Address:
633/634, P.J. Towers, Dalal Street
Fort, Mumbai-400001

D.V. Sekhar
Recovery Officer

D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.



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**Securities and Exchange
Board of India**

Enforcement Department
Recovery Division

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 707 of 2014
Certificate No. 172 of 2014

Central Depositories Services (India) Limited,
17th floor, P J Towers,
Dalal Street,
Mumbai – 400001

1. Whereas a Recovery Certificate No. 172 dated July 07, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.7,17,164/- (Rupees Seven Lakh Seventeen Thousand One Hundred Sixty Four only) as detailed below along with further interest, all costs, charges and expenses etc., against **M/s Galaxy Broking Limited ["Defaulter"] PAN: AABCG5457B** and the same is due from him in respect of the said certificate. A Notice of Demand dated July 07, 2014 has been issued to **M/s Galaxy Broking Limited.**

Description of Dues	Amount (in Rs.)
Penalty imposed by the Adjudicating Officer vide order no. BM/AO-128/2010 dated November 30, 2010 in the matter of M/s Hit Kit Global Solutions Limited	5,00,000
Interest from November 30, 2010 to July 07, 2014 @ 12% p.a.	2,16,164
Costs	1,000
Total	7,17,164

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach all Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.

सेबी
लाइसेंस सं. सी 4
ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051. • दूरभाष: 2644 9000 • फैक्स: 2644 9019 to 2644 9022
वेब :www.sebi.gov.in



अनुवर्ती :
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**Securities and Exchange
Board of India**

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice.:
 - a) Details all the Accounts held by the defaulter with you,
 - b) Copy of the Account Statement/s;
 - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under **Section 28A, 11(2)(ia) of SEBI Act, 1992** r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 7th day of July, 2014.

SEAL

Copy to:

M/s Galaxy Broking Limited
Address:
633/634, P.J. Towers, Dalal Street
Fort, Mumbai-400001



D.V. Sekhar
Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.