



भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India
Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 268 of 2014

Certificate No. 051 of 2014

**Branch Manager
Punjab National Bank
Sector 37, Faridabad – 121003
Haryana**

1. Whereas a Recovery Certificate No. 051 of 2014 dated January 15, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of a sum of Rs. 20,00,000/- (Rupees Twenty Lakh only) towards Penalty imposed by the Adjudicating Officer vide order no. AO order: PKB/AO-12/2011 dated February 24, 2011 in the matter of Usha Ispat Limited, along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against, **Usha Ispat Limited (PAN:AAACU1007G) ["Defaulter"]**; and the same is due from them in respect of the said certificates. Notice of Demand dated January 15, 2014 has been issued to Usha Ispat Limited.
2. And whereas there is sufficient reason to believe that the Defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - i) Account bearing No. **2258002100023540** with **Sector 37, Faridabad** branch of your bank;
 - ii) All other account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and
 - iii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

टेलीफोन : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :
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A.P. no. 268 of 2014
भारतीय प्रतिभूति
और विनियम बोर्ड

**Securities and Exchange
Board of India**

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
 - a) Details of all the Accounts including Lockers held by the Defaulter with your Bank,
 - b) Copy of the Account Statement/s in respect of all the Accounts for the past one year;
 - c) Confirmation of Attachment of the said account/s;
 - d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 and Section 19-IB of the Depositories Act, 1996, r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 15th day of January, 2014.

SEAL

Copy To:



Usha Ispat Limited

D.V. Sekhar
Recovery Officer

D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Address 1: 17, Matr Chhaya Society,
Nagar Road, Kalyani Nagar,
Pune – 411006

Address 2: Usha House, B-II/100,
M.C.I.E. Delhi Mathura Road,
Badarpur,
New Delhi – 110044

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.



भारतीय प्रतिभूति
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Notice of Attachment of Bank Account

Attachment Proceeding No. 269 of 2014
Certificate No. 051 of 2014

Branch Manager
Indian Bank
Main New Delhi Branch
P 45 90, Connaught Place,
New Delhi - 110001

1. Whereas a Recovery Certificate No. 051 of 2014 dated January 15, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of a sum of Rs. 20,00,000/- (Rupees Twenty Lakh only) towards Penalty imposed by the Adjudicating Officer vide order no. AO order: PKB/AO-12/2011 dated February 24, 2011 in the matter of Usha Ispat Limited, along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against, **Usha Ispat Limited (PAN:AAACU1007G) ["Defaulter"]**; and the same is due from them in respect of the said certificates. Notice of Demand dated January 15, 2014 has been issued to Usha Ispat Limited.
2. And whereas there is sufficient reason to believe that the Defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - i) Account bearing No. **50391** (old number) with **Main New Delhi** branch of your bank;
 - ii) All other account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



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भारतीय प्रतिभूति
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**Securities and Exchange
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- iii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
- a) Details of all the Accounts including Lockers held by the Defaulter with your Bank,
 - b) Copy of the Account Statement/s in respect of all the Accounts for the past one year;
 - c) Confirmation of Attachment of the said account/s;
 - d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 and Section 19-IB of the Depositories Act, 1996, r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 15th day of January, 2014.

SEAL

Copy To:

Usha Ispat Limited



Address 1: 17, Matr Chhaya Society,
Nagar Road, Kalyani Nagar,
Pune - 411006

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

D.V. Sekhar

Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

Address 2: Usha House, B-II/100,
M.C.I.E. Delhi Mathura Road,
Badarpur,
New Delhi - 110044



भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India 49570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 270 of 2014
Certificate No. 051 of 2014

Branch Manager
HDFC Bank Ltd.
18th June road, Near Mathias Plaza,
Panjim, Goa – 403001.

1. Whereas a Recovery Certificate No. 051 of 2014 dated January 15, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of a sum of Rs. 20,00,000/- (Rupees Twenty Lakh only) towards Penalty imposed by the Adjudicating Officer vide order no. AO order: PKB/AO-12/2011 dated February 24, 2011 in the matter of Usha Ispat Limited, along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against, **Usha Ispat Limited (PAN:AAACU1007G) ["Defaulter"]**; and the same is due from them in respect of the said certificates. Notice of Demand dated January 15, 2014 has been issued to Usha Ispat Limited.
2. And whereas there is sufficient reason to believe that the Defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - i) Account bearing No. **592320000280** with **June Road, Goa** branch of your bank;
 - ii) All other account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with your Bank; and

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दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai -400 051.
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



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और विनियम बोर्ड

**Securities and Exchange
Board of India**

- iii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
- a) Details of all the Accounts including Lockers held by the Defaulter with your Bank,
 - b) Copy of the Account Statement/s in respect of all the Accounts for the past one year;
 - c) Confirmation of Attachment of the said account/s;
 - d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.
6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 and Section 19-IB of the Depositories Act, 1996, r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 15th day of January, 2014.

SEAL



Copy To:

Usha Ispat Limited

Address 1: 17, Matr Chhaya Society,
Nagar Road, Kalyani Nagar,
Pune - 411006

Address 2: Usha House, B-II/100,
M.C.I.E. Delhi Mathura Road,
Badarpur,
New Delhi - 110044

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.

D.V. Sekhar
Recovery Officer

D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai



**भारतीय प्रतिभूति
और विनियम बोर्ड**
**Securities and Exchange
Board of India**

Tel: 022-26449570

Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 271 of 2014

Certificate No. 051 of 2014

**National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound,
Lower Parel,
Mumbai - 400 013**

1. Whereas a Recovery Certificate No. 051 of 2014 dated January 15, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of a sum of Rs. 20,00,000/- (Rupees Twenty Lakh only) towards Penalty imposed by the Adjudicating Officer vide order no. AO order: PKB/AO-12/2011 dated February 24, 2011 in the matter of Usha Ispat Limited, along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against, **Usha Ispat Limited (PAN:AAACU1007G) ["Defaulter"]**; and the same is due from them in respect of the said certificates. Notice of Demand dated January 15, 2014 has been issued to Usha Ispat Limited.
2. And whereas there is sufficient reason to believe that the Defaulter may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following:
 - i) Demat Account bearing No. **10203801** with **Bharat Bhushan Equity Traders Ltd. [IN301209]**;
 - ii) All other Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you;

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.बी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai -400 051.
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



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**Securities and Exchange
Board of India**

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:
 - a) Details of all the Accounts held by the defaulters with you,
 - b) Copy of the Account Statement/s;
 - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under Section 28A, 11(2)(ia) of SEBI Act, 1992 and Section 19-IB of the Depositories Act, 1996, r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 15th day of January, 2014.

SEAL



Copy To:

Usha Ispat Limited

Address 1: 17, Matr Chhaya Society,
Nagar Road, Kalyani Nagar,
Pune – 411006

Address 2: Usha House, B-II/100,
M.C.I.E. Delhi Mathura Road,
Badarpur,
New Delhi – 110044

D.V. Sekhar
Recovery Officer

D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.



**भारतीय प्रतिभूति
और विनिमय बोर्ड**
**Securities and Exchange
Board of India**

Tel: 022-26449570

Email: recovery@sebi.gov.in

Notice of Attachment of Demat Account

Attachment Proceeding No. 272 of 2014

Certificate No. 051 of 2014

**Central Depositories Services (India) Limited,
17th floor, P J Towers,
Dalal Street,
Mumbai - 400001**

1. Whereas a Recovery Certificate No. 051 of 2014 dated January 15, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of a sum of Rs. 20,00,000/- (Rupees Twenty Lakh only) towards Penalty imposed by the Adjudicating Officer vide order no. AO order: PKB/AO-12/2011 dated February 24, 2011 in the matter of Usha Ispat Limited, along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against, **Usha Ispat Limited (PAN:AAACU1007G) ["Defaulter"]**; and the same is due from them in respect of the said certificates. Notice of Demand dated January 15, 2014 has been issued to Usha Ispat Limited.
2. And whereas there is sufficient reason to believe that the Defaulter may dispose of the securities/ instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the all Demat Account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders. However, the credits, if any, into the account may be allowed.

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व), मुंबई - 400 051.

2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

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Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



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भारतीय प्रतिभूति
और विनियम बोर्ड

**Securities and Exchange
Board of India**

5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:
- a) Details of all the Accounts held by the defaulters with you,
 - b) Copy of the Account Statement/s;
 - c) Confirmation of Attachment of the said account/s
6. This Notice of attachment is issued in exercise of powers conferred under Section 28A, 11(2)(ia) of SEBI Act, 1992 and Section 19-IB of the Depositories Act, 1996, r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 15th day of January, 2014.

SEAL

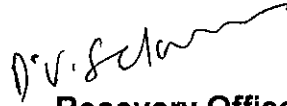


Copy To:

Usha Ispat Limited

Address 1: 17, Matr Chhaya Society,
Nagar Road, Kalyani Nagar,
Pune – 411006

Address 2: Usha House, B-II/100,
M.C.I.E. Delhi Mathura Road,
Badarpur,
New Delhi – 110044


Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.



**भारतीय प्रतिभूति
और विनिमय बोर्ड**
**Securities and Exchange
Board of India**

Tel: 022-26449570
Email: recovery@sebi.gov.in

Notice of Attachment of Bank Account

Attachment Proceeding No. 273 of 2014
Certificate No. 051 of 2014

**The Principal Officer/
Chairman & Managing Director/ CEO
All the Banks in India**

1. Whereas one Recovery Certificate No. 051 of 2014 dated January 15, 2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs. 20,00,000/- (Rupees Twenty Lakh only) towards Penalty imposed by the Adjudicating Officer vide order no. AO order: PKB/AO-12/2010 dated February 24, 2011 in the matter of Usha Ispat Limited, along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum, against **Usha Ispat Limited (PAN:AAACU1007G) ["Defaulter"]**; and the same is due from them in respect of the said certificates. Notice of Demand dated January 15, 2014 has been issued to Usha Ispat Limited.
2. And whereas there is sufficient reason to believe that the Defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
 - i) All the account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with your Bank;
 - ii) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter; and
 - iii) Any lockers registered in the name of the Defaulter, either singly or jointly with any other person/s, held with your Bank.

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

फोन : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

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और विनियम बोर्ड

**Securities and Exchange
Board of India**

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby advised to provide the following immediately to the undersigned by courier and email at recovery@sebi.gov.in on service of this Notice:
 - a) Details of all the Accounts including Lockers held by the Defaulter with your Bank,
 - b) Copy of the Account Statement/s in respect of all the accounts for the past one year;
 - c) Confirmation of Attachment of the said account/s.
 - d) Complete details of all loans/ advances accounts along with the details of assets charged for the said loan/advances.
6. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1)(b), 11(2)(ia) of SEBI Act, 1992 and Section 19-IB of the Depositories Act, 1996, r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai on this 15th day of January, 2014.

SEAL

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Usha Ispat Limited



Address 1: 17, Matr Chhaya Society,
Nagar Road, Kalyani Nagar,
Pune - 411006

Address 2: Usha House, B-II/100,
M.C.I.E. Delhi Mathura Road,
Badarpur,
New Delhi - 110044

D.V. Sekhar
Recovery Officer
D.V. Sekhar
Recovery Officer & Dy. Legal Advisor
Securities and Exchange Board of India
Mumbai

With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts.