

BEFORE THE SECURITIES APPELLATE TRIBUNAL
MUMBAI

Date : 09.06.2021

**Misc. Application No. 677 of 2021
(Stay Application)
And
Appeal No. 511 of 2019**

JLG Securities Pvt. Ltd.

..... Appellant

Versus

Securities and Exchange Board of India

... Respondent

Mr. Chintan Sheth, Chartered Accountant i/b Chintan Sheth &
Associates for the Appellant.

Mr. Vishal Kanade, Advocate with Mr. Kaushal Parsekar, Advocate
i/b Legasis Partners for the Respondent.

**With
Misc. Application No. 577 of 2021
(Application for Placing
Additional Grounds &
Documents)
And
Misc. Application No. 342 of 2021
(Permission to file Additional
Documents & Grounds)
And
Misc. Application No. 600 of 2019
(Stay Application)
And**

Appeal No. 564 of 2019

Shree Gopal Gupta

..... Appellant

Versus

Securities and Exchange Board of India

... Respondent

Mr. Ketan Rupani, Chartered Accountant with Mr. Kushal Shah, Authorised Representative i/b Ketan Rupani & Company for the Appellant.

Mr. Vishal Kanade, Advocate with Mr. Kaushal Parsekar, Advocate i/b Legasis Partners for the Respondent.

With

**Misc. Application No. 571 of 2021
(Application for Placing
Additional Grounds &
Documents)**

And

**Misc. Application No. 336 of 2021
(Permission to file Additional
Documents & Grounds)**

And

**Misc. Application No. 591 of 2019
(Stay Application)**

And

Appeal No. 547 of 2019

K P Abraham

..... Appellant

Versus

Securities and Exchange Board of India

... Respondent

Mr. Ketan Rupani, Chartered Accountant with Mr. Kushal Shah, Authorised Representative i/b Ketan Rupani & Company for the Appellant.

Mr. Vishal Kanade, Advocate with Mr. Kaushal Parsekar, Advocate i/b Legasis Partners for the Respondent.

**With
Misc. Application No. 572 of 2021
(Application for Placing
Additional Grounds &
Documents)
And
Misc. Application No. 337 of 2021
(Permission to file Additional
Documents & Grounds)
And
Misc. Application No. 592 of 2019
(Stay Application)
And
Appeal No. 548 of 2019**

Hari Om Mishra

..... Appellant

Versus

Securities and Exchange Board of India

... Respondent

Mr. Ketan Rupani, Chartered Accountant with Mr. Kushal Shah, Authorised Representative i/b Ketan Rupani & Company for the Appellant.

Mr. Vishal Kanade, Advocate with Mr. Kaushal Parsekar, Advocate
i/b Legasis Partners for the Respondent.

With
Misc. Application No. 573 of 2021
(Application for Placing
Additional Grounds &
Documents)
And
Misc. Application No. 338 of 2021
(Permission to file Additional
Documents & Grounds)
And
Misc. Application No. 593 of 2019
(Stay Application)
And
Appeal No. 549 of 2019

Parul Kansal

..... Appellant

Versus

Securities and Exchange Board of India

... Respondent

Mr. Ketan Rupani, Chartered Accountant with Mr. Kushal Shah,
Authorised Representative i/b Ketan Rupani & Company for the
Appellant.

Mr. Vishal Kanade, Advocate with Mr. Kaushal Parsekar, Advocate
i/b Legasis Partners for the Respondent.

With

**Misc. Application No. 574 of 2021
(Application for Placing
Additional Grounds &
Documents)**

And

**Misc. Application No. 339 of 2021
(Permission to file Additional
Documents & Grounds)**

And

**Misc. Application No. 594 of 2019
(Stay Application)**

And

Appeal No. 550 of 2019

Ajay Srivastava

..... Appellant

Versus

Securities and Exchange Board of India

... Respondent

Mr. Ketan Rupani, Chartered Accountant with Mr. Kushal Shah,
Authorised Representative i/b Ketan Rupani & Company for the
Appellant.

Mr. Vishal Kanade, Advocate with Mr. Kaushal Parsekar, Advocate
i/b Legasis Partners for the Respondent.

With

**Misc. Application No. 575 of 2021
(Application for Placing
Additional Grounds &
Documents)**

And

Misc. Application No. 340 of 2021

(Permission to file Additional Documents & Grounds)

And

Misc. Application No. 595 of 2019 (Stay Application)

And

Appeal No. 551 of 2019

Ashok Kumar Nigam

.. Appellant

Versus

Securities and Exchange Board of India

... Respondent

Mr. Ketan Rupani, Chartered Accountant with Mr. Kushal Shah, Authorised Representative i/b Ketan Rupani & Company for the Appellant.

Mr. Vishal Kanade, Advocate with Mr. Kaushal Parsekar, Advocate i/b Legasis Partners for the Respondent.

With

Misc. Application No. 576 of 2021 (Application for Placing Additional Grounds & Documents)

And

Misc. Application No. 341 of 2021 (Permission to file Additional Documents & Grounds)

And

Misc. Application No. 599 of 2019 (Stay Application)

And

Appeal No. 563 of 2019

Paramjeet Arora

..Appellant

Versus

Securities and Exchange Board of India ... Respondent

Mr. Ketan Rupani, Chartered Accountant with Mr. Kushal Shah, Authorised Representative i/b Ketan Rupani & Company for the Appellant.

Mr. Vishal Kanade, Advocate with Mr. Kaushal Parsekar, Advocate i/b Legasis Partners for the Respondent.

ORDER:

1. Three weeks' time is allowed to the appellants to file rejoinder. List on July 8, 2021.
2. Parties will take instructions from the Registrar 48 hrs. before the date fixed in order to find out as to whether the appeal would be heard through video conference or through physical hearing.
3. The present matter was heard through video conference due to Covid-19 pandemic. At this stage, it is not possible to sign a copy of this order nor a certified copy of this order could be issued by the Registry. In these circumstances, this order will be digitally signed by the Private Secretary on behalf of the bench and all concerned parties are directed to act on the digitally signed copy of this order.

Parties will act on production of a digitally signed copy sent by fax and/or email.

Justice Tarun Agarwala
Presiding Officer

Justice M. T. Joshi
Judicial Member

09.06.2021
PTM